

*Original Research*

# Optimal Grain Size Based Landscape Pattern Analysis for Shanghai Using Landsat Images from 1998 to 2017

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## Abstract

While they are an effective tool for studying landscape patterns and describing land-use change, landscape metrics are sensitive to variation in spatial grain sizes. It is therefore crucially important to select an optimal grain size for characterizing urban landscape patterns. Due to accelerated urbanization, Shanghai, the economic capital of China, has seen drastic changes in landscape patterns in recent decades and it would be interesting to take Shanghai as an example for examining the grain effect of landscape patterns. In this study, from Shanghai's land use maps derived from Landsat images of 1998, 2007, and 2017 via random forest classification, a selection of landscape metrics was measured with 14 grain sizes ranging from 30 m to 460 m. Both the conventional first scale domain method and the information loss evaluation model were adopted to comprehensively determine an optimal grain size for characterizing Shanghai's landscape pattern. After that, the land use dynamic degree model was used to explore the change in Shanghai's landscape pattern under the optimal grain size. Results demonstrate that (1) the responses of landscape metrics varied with grain size, which could be divided into three categories, namely irregular trend, decreasing trend, and no clear change; that (2) the optimal spatial grain size for landscape pattern analysis was 60 m; and that (3) the degree of landscape aggregation decreased, whereas that of landscape diversity and fragmentation increased. This study shows a clear grain effect of landscape patterns and can provide useful insights for urban landscape planning.

**Keywords:** grain effect, grain size, landscape pattern, landscape metrics, Shanghai

## Introduction

As a key issue that both geography and ecology need to address, scale has been considered one of the ten focuses in the field of landscape ecology in the 21<sup>st</sup> century [1]. While the complexity and variability of landscape elements explain spatial heterogeneity, the landscape pattern of the same landscape varies with scale [2-4]. Therefore, the scale effect of landscape patterns must be considered in the studies on landscape pattern change [5]. The scale consists of extent and grain; the former refers to the continuous range of the object in terms of space and time, whereas the latter refers to maximum resolution or pixel size [6, 7]. The extent effect is observed when landscape pattern analysis results change with the size of the observed unit area [8] and the grain effect refers to the variation of the results with grain size which may change due to data aggregation [9, 10]. Accordingly, some researchers have attempted to unravel the response of landscape patterns to the change in scale and evaluate ecosystem services at multiple scales [11].

Landscape metrics are useful for characterizing landscape patterns and their spatial heterogeneity [5, 12-14] in the studies areas such as watershed areas [15], Mediterranean arid areas [16], and forest reserves [17]. The majority of landscape metrics are scale-dependent and sensitive to grain size [18-22], i.e. their calculated values are related to the cell size (sometimes aka spatial resolution) of land-use data [5, 23]. Landscape metrics derived at different grain sizes are likely to present contrasting landscape patterns in the studies on landscape structures, ecological processes, and ecosystem services [24]. Thanks to the development of remote sensing (e.g. ENVI) and landscape analysis software (e.g. FRAGSTATS), it has become increasingly convenient to examine the grain effect of landscape metrics [25-27]. For example, Fang et al. [5] examined the landscape patterns of arid valleys in China based on grain effect. Connor et al. [28] explored the effects of grain sizes on landscape simulation in Scandinavian Mountains in Norway, Sweden, and southern Finland. Lü et al. [29] performed a grain analysis of the minor watershed landscape on the Loess Plateau and compared varied re-sampling methods. It would be also interesting to investigate how landscape metrics respond to various grain sizes in an urban context [30]. While some researchers have classified the responses of landscape metrics into three different categories, namely predictable response, unstable response, and step-like response [31, 32], a finer classification of the responses also exists in some other studies [5, 16]. Even for the same landscape metric, the response to grain size change is somewhat divergent because of different grain sizes tested [10, 33, 34]. Nevertheless, understanding the responses of landscape metrics would help to identify an optimal grain size for landscape pattern analysis.

Multiple methods for determining an appropriate grain size for landscape pattern analysis have been

proposed such as the first scale domain method [35-37] and the information loss evaluation model [38, 39]. The former method is extensively used because of convenience and simplicity but considered subjective [5, 22]. The latter method is used to quantify the amount of lost information induced by data re-sampling [10, 29] and a grain size that corresponds to the least information loss can be selected as suitable for landscape pattern analysis. However, it focuses on the loss of spatial data but ignores the expression of landscape pattern features. Since both the methods have their own (dis)advantages, it would be better to use them together to identify an optimal grain size, which has been favored in some previous studies [22, 40]. With an optimal grain size, landscape pattern analysis can effectively reveal landscape pattern components, and equally importantly, allows for a characterization of landscape pattern change with least reduced information [8, 37].

This study aims at revealing the grain effect of landscape metrics by selecting Shanghai with Landsat image data over this Chinese metropolis acquired from 1998 to 2017, for a case study. The specific objectives of this study are as follows: (1) to explore the response of landscape metrics to the change of grain size; (2) to determine an optimal grain size for landscape pattern analysis by jointly applying the first scale domain method and the information loss evaluation model; and (3) to characterize landscape patterns under the optimal grain size by using the land use dynamic degree model.

## Study Area and Data

### Study Area

Bordering the provinces of Jiangsu and Zhejiang, Shanghai is situated on the banks of the Yangtze River Delta in East China (120°52'-122°12'E and 30°40'-31°53'N) (Fig. 1) with an average altitude of ~4 m. Shanghai is characterized by a subtropical monsoon climate with an average annual temperature of 17.5°C recorded in 2017 [41]. As of 2017, Shanghai has an area of 6340.5 km<sup>2</sup> and consists of 16 districts, divided into central urban areas (CUA) and suburbs.

In addition to being one of the four municipalities in China, Shanghai is the core of the Yangtze River Delta urban agglomeration and the economic, commercial, financial, innovation, and transportation centers of China. The total residents in Shanghai had reached 24.18 million in 2017, ranking the most populous urban area in China [41]. The gross domestic product (GDP) in Shanghai rose from 380.11 billion RMB in 1998 to 3063.30 billion RMB in 2017 with the tertiary industry accounting for 69% of the 2017 GDP [41]. Due to population growth and economic development, Shanghai saw a significant land use change in the nearly two decades as a result of mainly human activity [42]. And according to the Shanghai Master Plan 2017-2035, it is committed to building a more sustainable eco-city with

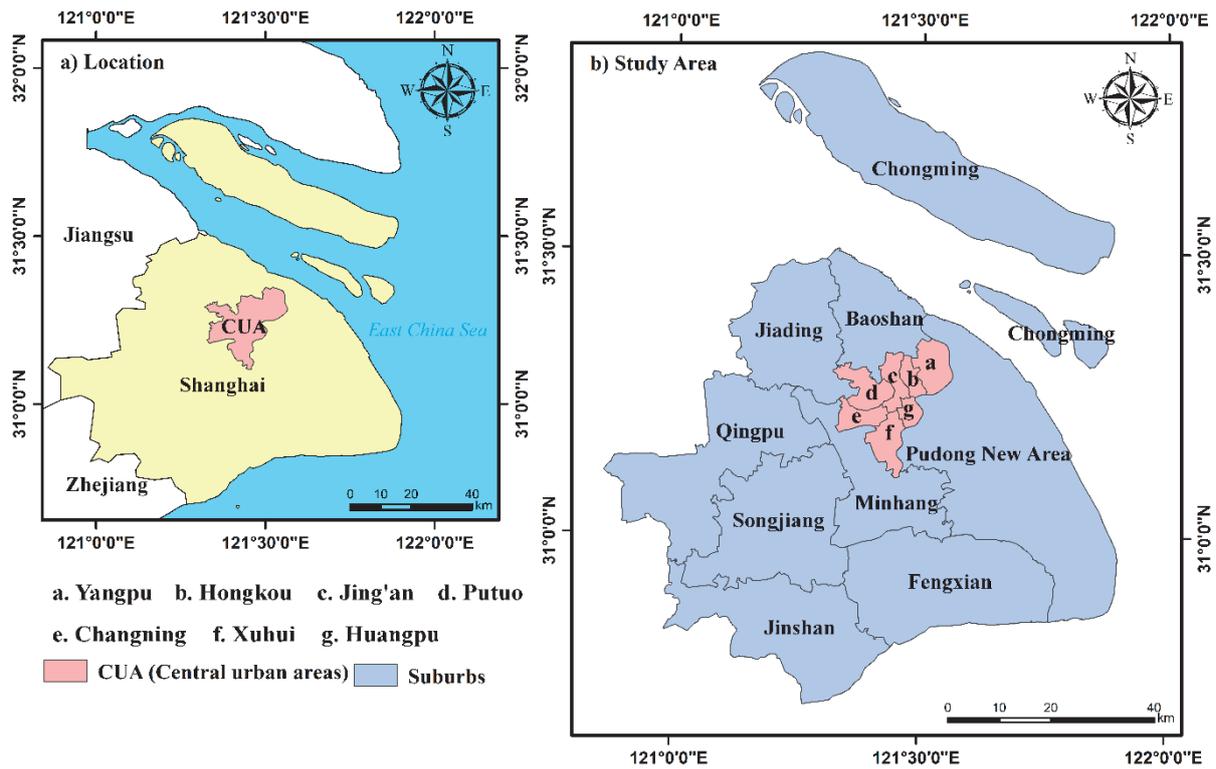


Fig. 1. a) The location of Shanghai in East China; b) the 16 districts of Shanghai.

green spaces and low-carbon infrastructures, which necessitate studying Shanghai’s landscape pattern over time [43]. Considering its natural and socio-economic characteristics, Shanghai is an ideal area for this study for urban pattern analysis.

### Remote Sensing Images

As remote sensing is useful for observing changes in land use and vegetation cover over a wide area [44, 45], satellite remote sensing image data were collected for producing land use maps and deriving landscape metrics. They consist of 30-m-resolution Landsat 5 TM and Landsat 8 OLI, all freely downloaded from the U.S. Geological Survey website (<https://earthexplorer.usgs.gov/>) (Table 1). Due largely to image quality and the large area of Shanghai, Landsat images acquired in the summers of 1998, 2007, and 2017 were selected and we assume the effect of the small date intervals on image classification would be limited [46].

Prior to classification, the images were pre-processed in ENVI 5.3 for atmospheric correction, geometric

correction (image to image), and image mosaicking. Finally, the vector data of Shanghai’s administrative boundaries were used to extract the study area for image classification and further analysis.

### Methods

#### Land-Use Mapping

##### Random Forest Classification

Random forest (RF) was selected for urban land use classification in this study as it is a superior classifier in producing accurate results for various purposes, e.g. mapping lava flow of different age [47], regional land use [48], urban vegetation types [49], and volcanic risk assessment [50]. The number of trees (*ntree*) and random features (*mtry*) are two important parameters in RF classification and usually determined by trial and error [51]. By using the RF classification plug-in EnMAP-Box in ENVI 5.3 [52], we set *ntree* and *mtry* at

Table 1. Landsat image data used in the study.

Year	Sensor	Acquisition Date (Path/Row)
1998	Landsat 5 TM	1998-08-04 (118/038), 1998-08-04 (118/039)
2007	Landsat 5 TM	2007-07-28 (118/038), 2007-07-28 (118/039)
2017	Landsat 8 OLI	2017-08-24 (118/038), 2017-08-24 (118/039)

100 and 3, respectively, as the optimal parameters and produced three land use maps for Shanghai, following the study by Cui et al [48], with six broad classes, namely cropland, urban land, forestland, grassland, water area, and other land-use (Fig. 2).

#### Accuracy Assessment

High-resolution satellite images in Google Earth Pro were used for extracting reference data required for the accuracy assessment of the land use classification. A total of 350 random sample points were generated over the study area in ArcGIS 10.2 and then laid over the produced land use maps (Fig. 2) for extracting classified land use types. To produce reference data, these points were also imported into Google Earth Pro and the land use type for each point was determined by visually interpreting the Google Earth satellite images. Finally, a confusion matrix for each land-use classification was created for calculating the overall accuracy and Kappa coefficient, which were 88.027% and 0.824 for the 2007

land use map and 88.385% and 0.839 for the 2017 land use map, respectively. The classification results were similar and high (Kappa coefficients  $>0.70$ ) [48, 53], which were considered acceptable. It is noted that no independent accuracy assessment was performed for the 1998 land use map because of no high-resolution satellite images of 1998 over Shanghai in Google Earth Pro. However, since the classification methods and procedures were consistent for all the classifications, we assumed that the accuracy of the 1998 land use map was similar to those of the 2007 and 2017 land use maps [48].

#### Landscape Metrics and Scale Dependence

##### Landscape Metrics

While landscape metrics can be classified into three levels, i.e. the patch, class, and landscape level [54], landscape pattern analysis is usually performed at the class and landscape levels [9, 22]. There are

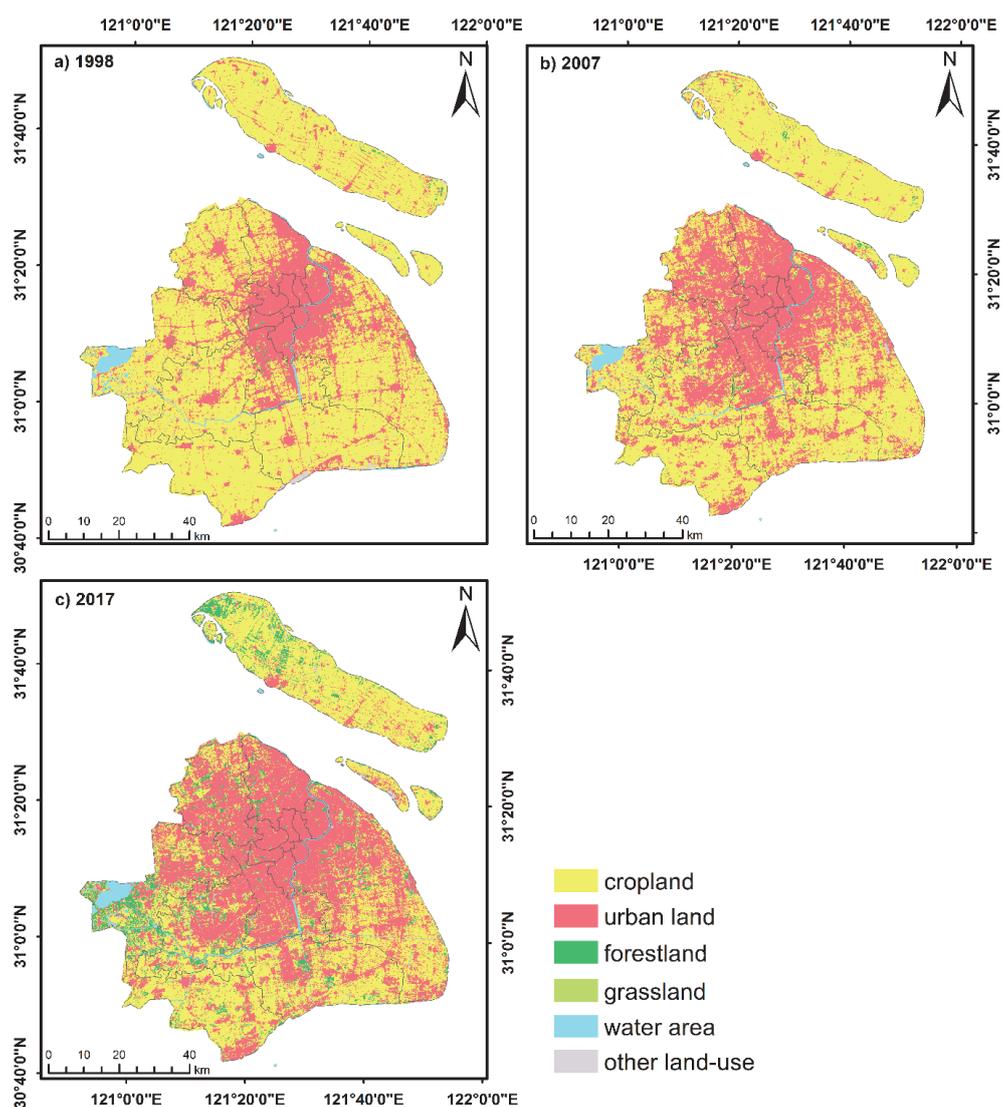


Fig. 2. Shanghai's land use maps of 1998 a), 2007 b), and 2017 c).

Table 2. Landscape metrics used in this study and their descriptions.

Level	Landscape metrics	Description
Class-level metrics	Total (class) area (CA)	It refers to the total area of a given patch type.
	Aggregation index (AI)	It is based on the length of adjacent boundaries among the same type of plaque pixels to determine the degree of plaque aggregation and dispersion.
	Edge density (ED)	It refers to the length of a certain landscape type boundary in a unit area.
	Largest patch index (LPI)	It is the ratio of the area of the largest patch in a patch type to the total patch type area.
	Landscape shape index (LSI)	It reflects the complexity of the shape of the patch by calculating the degree of shape deviation.
	Patch density (PD)	It represents the number of patches of a certain type in the unit area
	Patch cohesion index (COHESION)	It refers to the degree of connection among patches based on a defined distance.
	Landscape division index (DIVISION)	It expresses the degree of subdivision and the evenness of the segmentation of the landscape.
Landscape-level metrics	Contagion index (CONTAG)	It describes the degree of aggregation or extension of different patch types.
	Patch density (PD)	It is the number of all patch types per unit area.
	Shannon's diversity index (SHDI)	It reflects landscape heterogeneity and even distribution of different patch types.
	Shannon's evenness index (SHEI)	It represents the complexity of the composition of the landscape structure and the dominance of a patch type.

48 class-level metrics and 57 landscape-level metrics, all of which can be computed in spatial pattern analysis software FRAGSTATS 4.2 [55]. Because of information redundancy between these landscape metrics, we referred to multiple previous studies [56-59] and selected eight class-level and four landscape-level metrics (listed in Table 2 with their descriptions). The selected landscape metrics, which are widely used in the context of urban landscapes, can reveal the distinctions of the urban landscape from various perspectives, such as the area, aggregation, shape, patch density, and separation of landscape types in the study area, as well as the overall heterogeneity, sprawl, and patch density of the landscape.

#### *Grain Size Setting*

To study the influence of grain size on landscape metrics, we first converted the land-use data into GRID data format in ArcGIS 10.2 and then imported it into FRAGSTATS 4.2 to calculate the 12 landscape metrics. Given the spatial resolution of Landsat image data, the geographical area of the study area, and the effect of the re-sampled image, we selected 14 grain sizes for re-sampling by using the majority re-sampling method in ArcGIS 10.2, ranging from the original spatial resolution 30 m to the maximum 460 m with different increments. The selection of grain sizes vary from study to study, for example, using a series of grain sizes which constitute an arithmetic progression (i.e. an equal interval between each grain size and the one

before) or a geometric progression (i.e. a constant ratio between each grain size and the one before) [8, 29, 60]. This is because some landscape features can only be observed at a specific grain size [27]. In the context of Shanghai, we set three different grain size increments, 15 m from 30 m to 90 m, 30 m from 90 m to 210 m, and 50 m from 210 m to 460 m, and then produced 14 land-use grids of different grain sizes after re-sampling. As the grain size increased (i.e. the spatial resolution of the land use map decreased), spatial details also decreased [5]. The calculated landscape metrics corresponding to different grain sizes were imported into the graphing software OriginPro 9, and the response curves of landscape metrics against grain sizes were generated to explore the grain effect of landscape metrics.

#### *Measuring the Sensitivity of Landscape Metrics to Grain Size Change*

In addition to the response curves of landscape metrics, the coefficient of variation (CV) is also effective in revealing the sensitivity of landscape metrics to different grain sizes [8, 9] and was used in this study. The CV is the proportion of the standard deviation to the average of the data, providing a standard measure for objective comparison of landscape metrics [21]. The CV of each observed landscape metric was calculated in the statistical software SPSS 22 using the following formula:

$$CV = \sqrt{\frac{1}{n} \sum_{i=1}^n \left( x_i - \frac{1}{n} \sum_{i=1}^n x_i \right)^2} / \left( \frac{1}{n} \sum_{i=1}^n x_i \right) \quad (1)$$

...where  $n$  is the number of grain sizes and  $x_i$  is the value of the metric at the  $i^{\text{th}}$  grain size. When the CV value is small, the degree of variation is low, that is, the landscape metric is less sensitive to grain size change. We here divided the sensitivity of the landscape metric to grain size change into four levels: insensitivity ( $CV < 1\%$ ), low sensitivity ( $1\% \leq CV < 10\%$ ), moderate sensitivity ( $10\% \leq CV < 50\%$ ), and high sensitivity ( $50\% \leq CV < 100\%$ ) [8].

#### Determining An Optimal Grain Size

The first scale domain method is often used for determining an optimal grain size for landscape pattern analysis [8, 9]. In a response curve, the first scale domain is a grain size range that is determined by the grain size corresponding to the first and second turning points of the response curve [61]. A grain size slightly larger than the first turning point in the first scale domain should be selected as an optimal one, which retains more landscape information while requiring less data-processing time [5].

When re-sampling a land-use map to coarse grain sizes, spatial details will lose [27] and landscape pattern analysis will be undermined. This suggests the grain size that induces the least information loss can be considered optimal. To measure the overall information loss degree of landscapes in the re-sampling process, The information loss evaluation model [38, 40] was adopted here:

$$\begin{cases} P = |100 \times M/A_b| \\ M = \sum_{i=1}^n |A_{gi} - A_{bi}| \end{cases} \quad (2)$$

...where  $P$  is the information loss percentage for a landscape metric,  $M$  is the total information loss for the landscape metric,  $A_b$  is the sum of the metric value for all landscape types on the base data (30 m spatial resolution, before re-sampling),  $A_{gi}$  is the corresponding data value of the metric for a category  $i$  landscape,  $A_{bi}$  is the metric value for category  $i$  landscapes on the base data, and  $n$  is the total number of landscape types.

Since the response of landscape metrics to different grain sizes change subtly over time [62], the optimal grain size was determined through the analysis of the class-level metrics derived from the latest data for better data currency and more practical significance [5, 8], which was in the year of 2017. In this study, both the first scale domain method and the information loss evaluation model were used to find respective optimal ranges of grain sizes and the overlap between

the two optimal ranges was identified as an optimal grain size for landscape pattern analysis.

#### Analysis of Shanghai's Landscape Pattern

After the identification of an optimal grain size, the land use dynamic degree (LUDD) model was used to explore the change of Shanghai's landscape pattern from 1998 to 2017. Land use dynamic degree (LUDD) can measure the rate of land-use change, compare the differences in specific areas, and predict the landscape pattern trend [39, 63]. LUDD consist of the single land use dynamic degree ( $K$ ) and the overall land use dynamic degree ( $K_s$ ) [64]. The single land use dynamic degree  $K$  refers to the change rate of a certain type of land use during a specific period, reflecting the speed and magnitude of the change. It is calculated as follows [39]:

$$K = \frac{U_b - U_a}{U_a} \times \frac{1}{T} \times 100\% \quad (3)$$

...where  $U_a$  and  $U_b$  are the areas of a land-use type at the beginning and end of the study period, respectively;  $T$  is the interval of the study period. If  $T$  is 1 year, then  $K$  refers to the annual change rate.

The overall land use dynamic degree  $K_s$  measures the overall land use change rate during a certain study period. A larger  $K_s$  values means a more intensive land-use change. It is given as follows [39]:

$$K_s = \left( \frac{\sum_{i=1}^n \Delta LU_{i-j}}{2 \sum_{i=1}^n LU_i} \right) \times \frac{1}{T} \times 100\% \quad (4)$$

...where  $LU_i$  is the total area of land-use type  $i$  at the beginning,  $\Delta LU_{i-j}$  is the absolute value of the total area change of type  $i$  to type  $j$  during the study period, and  $T$  is the interval of the study period.

## Results

### Responses of Landscape Metrics to Grain Size Change

#### Responses of Class-level Metrics to Grain Size Change

The CV (coefficient of variation) values of the class-level metrics for Shanghai in 2017 were calculated (Table 3). The majority of the measured landscape metrics (over 83%) were sensitive ( $CV \geq 1\%$ ) to grain size change. Overall, the landscape metric most sensitive to grain size change was PD (mostly highly sensitive), followed by LSI and ED (both moderately sensitive). However, the landscape metrics with small CV values include CA and DIVISION, which indicating their low or no sensitivity to grain size change. Moreover, for the same landscape metric, the CV value varied largely

Table 3. The CV (coefficient of variation) values of the class-level metrics for Shanghai in 2017.

Land-use	CA (%)	LPI (%)	PD (%)	AI (%)	COHESION (%)	DIVISION (%)	LSI (%)	ED (%)
cropland	1.007	26.625	75.021	10.247	0.411	1.932	32.654	33.430
urban land	0.221	21.417	67.423	9.421	0.332	2.909	29.038	29.592
forestland	2.507	8.977	67.576	38.452	12.853	0.004	33.772	35.311
grassland	5.933	8.453	79.716	66.859	52.161	0.000	41.574	44.374
water area	1.330	7.244	48.430	20.328	8.264	0.000	22.967	23.970
other land-use	6.958	11.197	84.190	52.396	37.344	0.000	41.275	44.437

Higher CV values indicate that the metrics are more sensitive to grain size change. High sensitivity values ( $50\% \leq CV < 100\%$ ) are shaded in dark green, moderate sensitivity ( $10\% \leq CV < 50\%$ ) in light green, and low sensitivity ( $1\% \leq CV < 10\%$ ) in whitish green.

with land-use type. For example, the COHESION was highly sensitive to grain size change for grassland but showed much less sensitivity for cropland, urban land, and water area.

The eight class-level metrics responded differently to increasing grain size. In FRAGSTATS 4.2, we derived the eight metrics under the 14 different

grain sizes from the 2017 land-use map of Shanghai and plotted the response curves of metrics against grain size in OriginPro 9 (Fig. 3). The response curves could be classified as three broad types:

1. Type I: Irregular trend, e.g. the response curves of LPI and DIVISION for cropland and urban land. These curves had obvious turning points at 60 m,

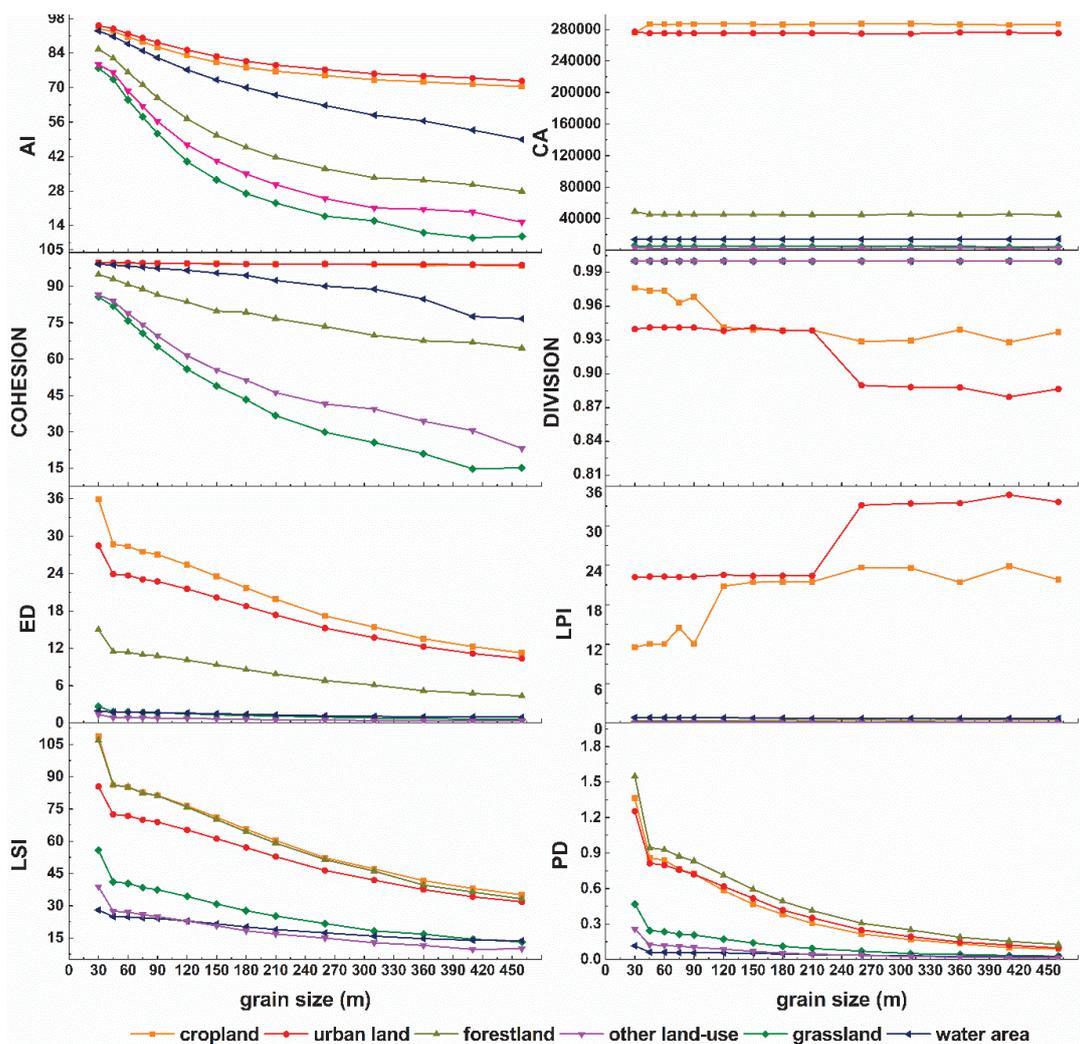


Fig. 3. The response curves of the class-level metrics for Shanghai in 2017.

Table 4. The first scale domain and the range of appropriate grain sizes for each class-level metric.

Class-level metric	First scale domain	Range of appropriate grain sizes
CA	-	A smaller size is preferred
AI	45-210 m	60-180 m
ED	45-90 m	60-75 m
LPI	45-120 m	60-90 m
LSI	45-90 m	60-75 m
PD	45-90 m	60-75 m
COHESION	45-210 m	60-180 m
DIVISION	45-120 m	60-90 m
Overlapping	45-90 m	60 m

120 m, and 210 m, where metrics changed irregularly with grain size.

2. Type II: Decreasing trend, e.g. the response curves of AI, COHESION, ED, LSI, and PD. The curves continuously decreased with grain size but began to flatten after 260 m.

3. Type III: No clear change. The response curves of CA were almost flat and hardly affected by grain size change.

By analyzing the response curves of the eight class-level metrics for different land-use types, we obtained the first scale domain and the range of appropriate grain sizes for each metric (Table 4). By comparing the overlapping parts of these ranges, the first scale domain was narrowed down to 45-90 m and the optimal grain size for landscape pattern analysis at the class level was 60 m.

### Responses of Landscape-level Metrics to Grain Size Change

The CV values of the landscape-level metrics for Shanghai in different years were calculated (Table 5). Among the landscape-level metrics, highest sensitivity to grain size change was found in PD and lowest sensitivity in SHDI and SHEI. Sensitivity also changed over time. For example, the sensitivity of CONTAG was low in 1998 and 2007 but moderate in 2017.

The four landscape-level metrics under the 14 grain sizes in the three years were derived in FRAGSTATS 4.2 and their response curves were plotted in OriginPro 9 (Fig. 4). There were two trends observed for the landscape-level metrics: (1) decreasing, e.g. the response curves of PD and CONTAG, with an obvious turning point at 45 m; and (2) no clear change, e.g. the response curves of SHEI and SHDI, except for a turning point at 45 m. These graphs show that the optimal grain size for landscape pattern analysis at the landscape level was greater than 45 m.

### Information Loss Evaluation

Following the method described in Formula (2), we calculated the information loss for the eight 2017 class-level metrics when they were re-sampled to different grain sizes and plotted the information loss curves in Fig. 5. Overall, information loss increased with grain size and different class-level metrics present contrasting information loss percentages. While PD had the most information loss in re-sampling, DIVISION lost the least information. The eight curves had an obvious turning point at 45 m and, on the whole, had a relatively stable change between 45 m and 60 m. When grain size exceeded 60 m, the percentage of information loss changed obviously (e.g. LPI and DIVISION).

Table 5. The CV values of the landscape-level metrics for Shanghai in different years.

Landscape-level metric	Year	Mean	SD	CV (%)	Response
SHDI	1998	0.712	0.006	0.899	Insensitivity
	2007	0.822	0.005	0.594	Insensitivity
	2017	1.053	0.006	0.565	Insensitivity
SHEI	1998	0.397	0.004	0.899	Insensitivity
	2007	0.459	0.003	0.595	Insensitivity
	2017	0.588	0.003	0.566	Insensitivity
PD	1998	0.861	0.489	56.845	High sensitivity
	2007	0.941	0.625	66.498	High sensitivity
	2017	1.879	1.324	70.472	High sensitivity
CONTAG	1998	69.834	3.543	5.074	Low sensitivity
	2007	64.546	4.197	6.502	Low sensitivity
	2017	52.945	5.488	10.366	Moderate sensitivity

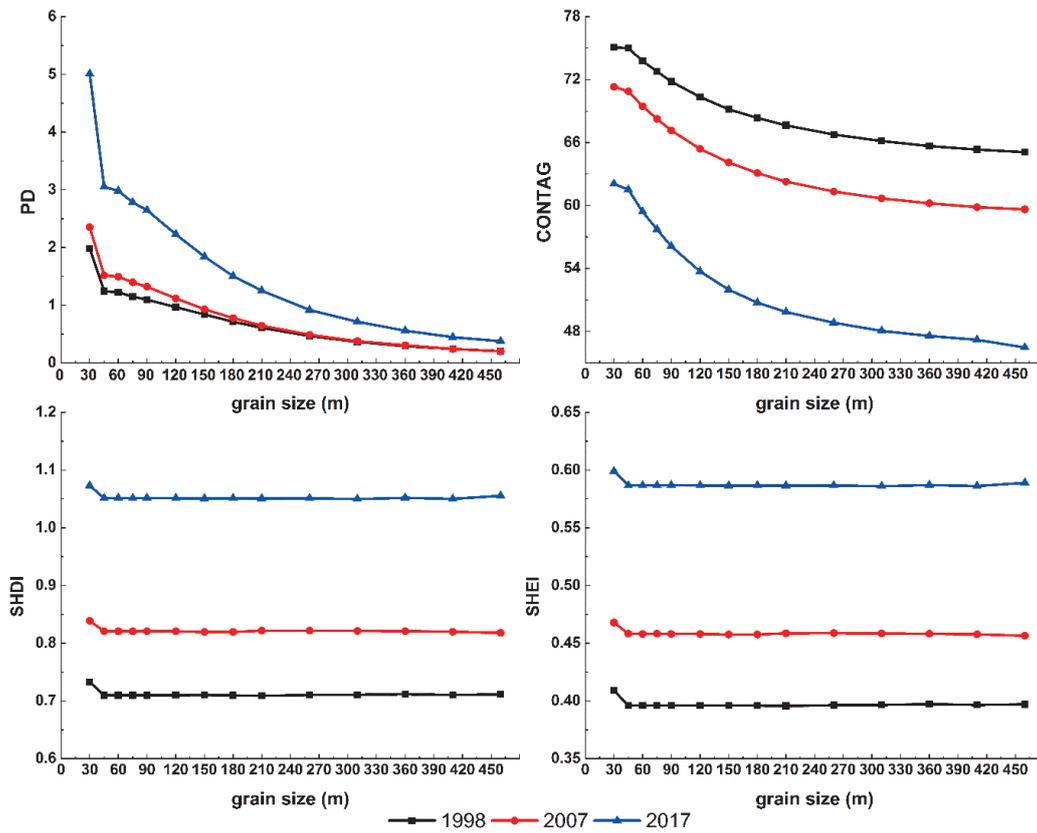


Fig. 4. The response curves of landscape-level metrics for Shanghai in different years.

The results show that information loss was minor within range from 45 m to 60 m.

*Optimal Grain Size*

By combining the appropriate grain sizes determined by the first scale domain method and by the information loss evaluation model, the overlap of them was 60 m (Table 6). Therefore, the optimal grain size for landscape pattern analysis in the context of Shanghai was 60 m at both the class level and landscape level.

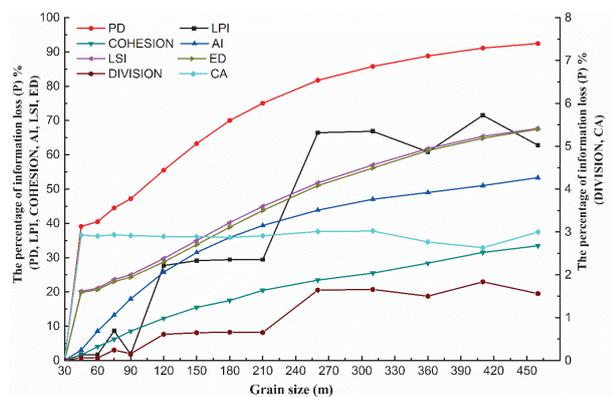


Fig. 5. The information loss for the eight 2017 class-level metrics under different grain sizes.

*Analysis of Shanghai’s Landscape Pattern*

*Land Use Change*

According to the land use dynamic degree model, we computed the single and overall land use dynamic degree for each land use type of Shanghai based on the land use map at the optimal grain size (i.e. 60 m) (Fig. 6, Table 7). While grassland had the highest single land use dynamic degree from 1998 to 2007 (25.48%), forestland had the highest from 2007 to 2017 (70.44%) as well as during the entire study period (74.20%). In addition, the single land use dynamic degree from 1998 to 2017 was positive for forestland, grassland and urban land but negative for cropland. This indicates that in general both urban green and built-up areas were expanding. The overall land-use dynamic degree of Shanghai was 1.575% from 1998 to 2007, 1.343% from 2007 to 2017, and 1.428% from 1998 to 2017.

*Landscape Pattern Change over Time*

In order to reflect Shanghai’s landscape pattern features under the optimal grain size from 1998 to 2017, we calculated the eight class-level metrics from the 60-m land use maps (Fig. 7). In general, the class-level metrics varied with land use types and time. Similar changes over time occurred between AI and COHESION, between CA and LPI, and between LSI

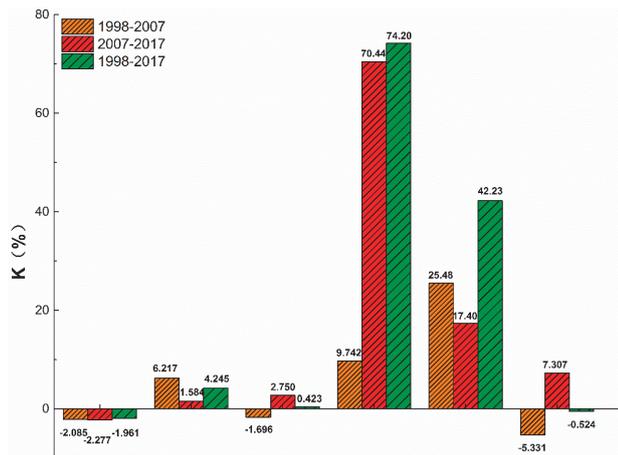


Fig. 6. The single land use dynamic degree of land use in Shanghai.

and PD. For AI and COHESION, between CA and LPI, and between LSI and PD. For AI and COHESION, they increased and then decreased for other land-use, increased for forestland, and changed little for cropland, water area and urban land. For CA and LPI, they

Table 6. The selection of an optimal grain size in this study.

Landscape metrics	Method	Appropriate grain size (range)
Class-level	First scale domain	60 m
	Information loss evaluation	45-60 m
Landscape-level	First scale domain	>45 m
Overall		60 m

decreased for cropland and increased for urban land, with little change observed for the other four land use types. For LSI and PD, they increased for cropland, forestland and grassland, and decreased and then increased for the other three land use types. DIVISION rose for cropland and declined for urban land but changed little for the other four land use types. In the case of ED, the value increased for cropland, urban land, and forestland but changed little for the other three land use types.

The four landscape-level metrics under the 60-m grain size computed in FRAGSTATS 4.2 were shown

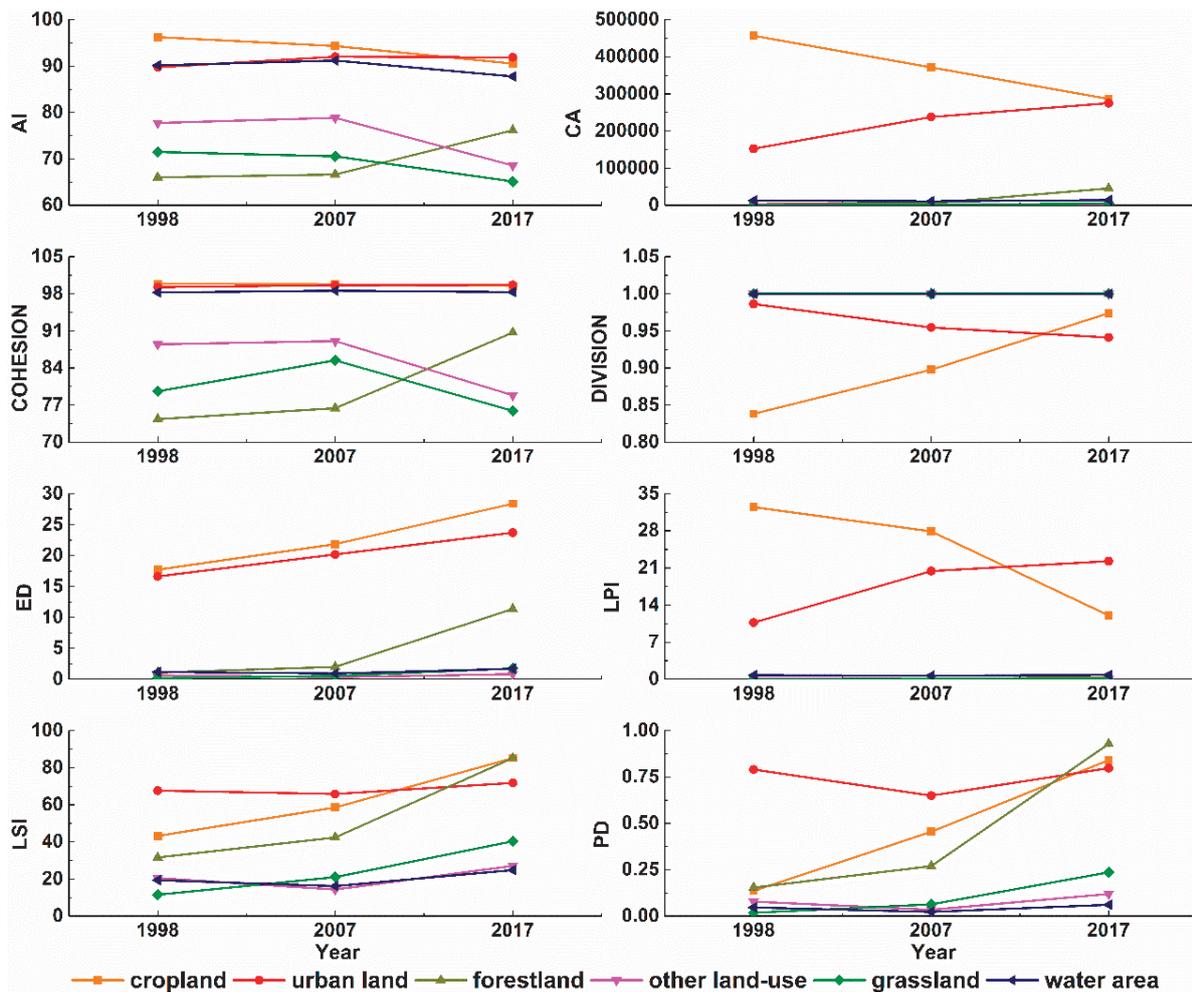


Fig. 7. The changes of land-use-specific class-level metrics over time under the optimal grain size (60 m).

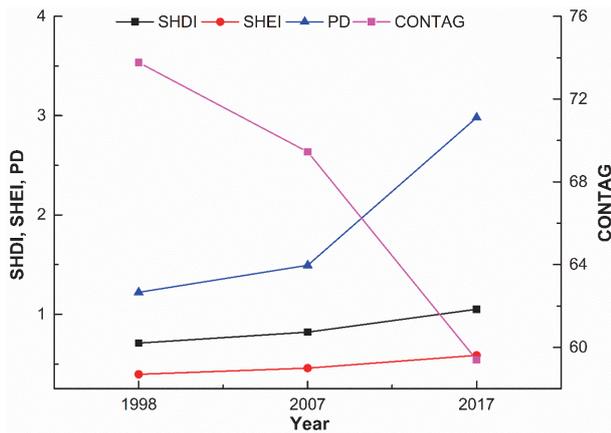


Fig. 8. The changes of landscape-level metrics over time under the optimal grain size (60 m).

Table 7. The overall land use dynamic degree of Shanghai.

Year	1998-2007	2007-2017	1998-2017
<i>Ks</i> (%)	1.575	1.343	1.428

in Fig. 8. SHDI, SHEI, and PD all increased over time though at various rates – the largest ascent was observed in PD during the 2007-2017 period. In contrast, CONTAG decreased over the two decades at a rising rate.

### Interpretation and Discussion

#### Responses of Landscape Metrics to Grain Size Change

An improved understanding of the scale effect of landscape metrics is fundamental to reveal ecological processes and the impact of ecological environment change on rapidly urbanized areas [65, 66]. Similar to the study of Teng et al. [8], our study shows that more than half of landscape metrics have high or moderate sensitivity to grain size change, except for the area (e.g. CA), separation (e.g. DIVISION), and landscape diversity (e.g. SHEI and SHDI) metrics. In another word, the response to grain size varies from metric to metric. While Buyantuyev and Wu [67] and Uuemaa et al. [23] claimed the trend of the responses of landscape metrics to grain size change could be increasing, decreasing or little change, Alhamad et al. [16] classified the responses into four types (i.e. predictable response, stair-like response, unstable response, and scale-based predictable response). In this study, there were three different response types, namely irregular trend (Type I), decreasing trend (Type II), and no clear change (Type III) (Fig. 3), which is partly consistent with previous studies [16, 23, 67]. The Type I response of class-level metrics corresponded with stair-like response reported

by Alhamad et al. [16], whereas the Type II and Type III responses were also found by Uuemaa et al. [23]. Such variation in the categorization of the responses of landscape metrics to grain size change may be explained by the fact that these landscape metrics were computed for different landscape contexts [68]. Moreover, the responses and sensitivity of landscape metrics to increased grain size in different periods are basically the same [9].

Since human activity has a greater impact on cropland and settlements than natural landscape patches, the selection of landscape metrics and appropriate grain size should be carefully considered [5]. It is believed that under an optimal grain size, landscape pattern analysis can accurately reflect landscape characteristics, improve the efficiency of data processing, and reduce the loss of effective information [22]. Therefore, some studies have attempted to identify an optimal grain size for landscape pattern analysis. For example, Zhan et al. [9] found that turning points were important for determining optimal grain sizes from re-sampled data. Tian et al. [22] discovered that the information loss of landscape metrics was minimum at the 50 m grain size for a coastal wetland study, which could be used as the optimal grain size. On the one hand a finer grain size does not allow accurate modelling of landscape patterns [69] despite providing more details about landscape patterns, but on the other, coarse grain sizes could lead to incorrect results of landscape pattern analysis due to large information loss [9]. Considering the trade-off between an appropriate characterization of landscape patterns and the least information loss of data, an optimal grain size would be near the turning points with minor information loss. By combining the first scale domain and the information loss evaluation model, the optimal grain size was determined as 60 m for landscape pattern analysis in this study, which is similar to previous studies [9, 22, 68].

#### Analysis of Shanghai’s Landscape Pattern

Land use dynamic degree (LUDD) and landscape metrics would be useful tools for quantifying landscape patterns after determining the optimal grain size (60 m) [63, 67]. Due to rapid urban expansion and economic development, Shanghai’s landscape pattern changed drastically from 1998 to 2017 (Fig. 6 and Table 7). The area of cropland continued to decrease, whereas the area of urban land constantly increased despite a slowed growth rate (Fig. 6). Green spaces also grew, as indicated by the large and positive single land use dynamic degree of grassland and forestland (Fig. 6), mainly because of rising demand for a better quality of life [42] and the implementation of the 12<sup>th</sup> Five-year Plan for Environmental Protection and Ecological Construction in Shanghai [70]. The overall land use dynamic degree decreased over time (Table 7), showing that the overall rate of land use change in Shanghai was slowing down from 1998 to 2017.

With the highest urbanization rate in China [71], Shanghai witnessed accelerated transformation of landscape types and fragmentation of landscape patches due to intensified human activity and socio-economic development [12, 20]. The class-level metrics of PD and LSI for all the land use types increased from 2007 to 2017 (Fig. 7), indicated that the complexity of landscape shapes gradually increased [10]. For the same land use type, landscape patterns also changed largely (Fig. 7). For example, the COHESION for grassland increased from 1998 to 2007 but decreased from 2007 to 2017 (Fig. 7), which is because of the change in driving forces that led to the evolution of landscape patterns [72]. Besides, Shanghai's landscape diversity increased (see SHDI and SHEI in Fig. 8), and the landscape aggregation showed a substantial decline (see CONTAG in Fig. 8). The continuous increase of the landscape-level metric PD (Fig. 8) reveals that landscape fragmentation showed a rising trend.

### Innovations and Limitations

There is currently no universally recognized method for determining an optimal grain size for specific regions. As an optimal grain size is important for landscape pattern analysis, we here in this study used both the first scale domain method and the information loss evaluation model. Such approach allows for determining a more reliable optimal grain size, which guarantees the efficiency of landscape pattern analysis and retains the most information. Landscape pattern analysis based on such grain size is more effective in revealing the characteristics of landscape pattern change.

However, the present study still needs to be improved. For instance, socio-economic data could be included to identify the driving factors accounting for Shanghai's landscape pattern change for an improved understanding of the urban landscape ecological process. Admittedly, remote sensing data were not the most recently acquired for this study. A direction for furthering the work is to establish a timeline for the evolution of urban landscape patterns by using more recent satellite imagery.

### Conclusions

This study investigates the responses of landscape metrics to grain size change and analyses the change of landscape patterns based on an optimal grain size determined by an integrated use of the first scale domain method and the information loss evaluation model. The key findings and conclusions are as follows:

1. Shanghai's landscape pattern had an obvious grain effect, and the responses of landscape metrics to grain size change varied. The responses can be classified into three categories, namely irregular trend, decreasing trend, and no clear change.

2. The optimal grain size for landscape pattern analysis in the study area was 60 m, which could better reveal landscape pattern features and help improve the efficiency of landscape pattern analysis while losing the least information.

3. From 1998 to 2017, Shanghai's landscape saw decreased aggregation and increased diversity and fragmentation. However, attributed to the implementation of environmental protection and ecological construction planning, urban green spaces had gradually increased in Shanghai.

This study showcases the selection of an optimal grain size for landscape pattern study and the characterization of urban landscape patterns based on such grain size. Moreover, findings from this study will be beneficial for decision-makers to formulate urban landscape protection strategies, thus improving the quality of urban ecological landscapes.

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### Conflict of Interest

The authors declare no conflict of interest.

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