Assessment of Synergy Degree among Process of Integrated Municipal Solid Waste Management in Harbin, China

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Abstract

Integrated Municipal Solid Waste (MSW) management is the foundation step for improving the efficiency of MSW management and satisfying the urban environment sustainability requirements. Therefore, this study aims to identify the weakness in the process of integrated MSW management, and support approaches to an effective integrated MSW management according to practice of the city governance. In this paper, the MSW management synergy system with four subsystems (MSW separation, MSW collection, MSW transportation, and MSW disposal) has been constructed in Harbin, China. Synergy degree model is used to calculate the order degrees of the subsystems and the synergy system from 2010 to 2019, and then study the synergistic development and orderly evolution trend of MSW management. The results indicate that the order degrees of the subsystems all develop to an ordered state, and the order degree of the MSW transportation subsystem of integrated MSW management synergy system in the study area improved continuously. Meanwhile, the order degree of the MSW separation subsystem developed slowly, staying at a relatively low level overall, indicating that MSW separation should be paid close attention to in Harbin.

Keywords: municipal solid waste, management process, synergy degree assessment, sustainable development

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Introduction

Nowadays, the rapid growth in the population and urbanization have led to environmental pollution and a drastic rise in municipal solid waste (MSW) generation [1], and has become a major environment quality and public health concern in recent years [2-3]. In 2019, MSW generated 242.06 million tons in China, however, there were still 1.93 million tons of MSW had not been properly harmless treated in this year [4]. This issue has brought heavy pressure on the environment quality and sustainable development [5-6]. Integrated MSW management is recognized as the contemporary and systematic approach to MSW management in a sanitary and environmentally friendly manner [7]. Thus, it is necessary to focus on the integrated MSW management, which will enhance the basis for the effectiveness of MSW management.

A number of studies have shed light on MSW management which is an increasingly complicated process involving various contributing factors [8]. MSW management includes activities related to the generation, classification, collection, transportation, and disposal of MSW [9]. Sharholy et al. [10] claimed that efficient MSW management requires support from both the authorities and citizens, with evolving community awareness and societal interest. Guerrero et al. [11] showed that an effective MSW management system should recognize environmental, sociocultural, and economic bonds to facilitate effective MSW management, and they also claimed that decision-makers should be required to report upon the situation in cities and make positive alterations, developing integrated MSW management strategies adjusted to citizens’ demands. Tan et al. [12] claimed that a sustainable MSW management system needs to consider not only economic factors but also multiple environmental and social criteria to prevent harmful effects on the environment and human health. Fernando [13] identified problems and challenges faced in the implementation of MSW management. Zaman [14] analyzed MSW management performance and made comparisons between municipalities or technologies to support decisions that deliver beneficial environmental, social, economic, and political impacts in practice. Paes et al. [15] analyzed a MSW management system that integrated environmental and economic indicators, which considers the total social costs, operating and investments costs of environmental externalities, to improve the current MSW management system.

Besides, many studies have focused on the multidimensional systemic approach to MSW sustainable management in the recent years. The most widely used decision support frameworks in the field of MSW sustainable management are life cycle assessment (LCA) and multi-criteria decision making (MCDM). For LCA, it is a system analysis tool to evaluate the total environmental impacts of MSW management options in strategy-planning and decision-making processes [16], and it has been widely used to provide preferable environmental outcomes through MSW management strategies [17]. Rigamonti et al. [18] applied a LCA model to evaluate the impacts associated with different sub-units that compose a MSW management system. For MCDM, it has become an important and convenient supporting tool for MSW management because it can handle problems involving multiple dimensions and conflicting criteria [19]. Frequently used MCDM tools include AHP (analytical hierarchy process), MOMILP (multi-objective mixed-integer linear programming), TOPSIS (technique for order preference with similarity to ideal solution) and other forms of LP (linear programming). For example, Parekh et al. [20] demonstrated the application of AHP to assign the weightage of each performance indicator for MSW management. Harjiani et al. [21] proposed a MOMILP model to design the MSW management network, considering the economic, environmental and social dimensions of sustainability. Su et al. [22] evaluated the performance of MSW management policies in Taoyuan County (Taiwan) with respect to social, economic and management criteria by the approach of TOPSIS. Paul et al. [23] introduced a LP model for MSW management to optimize the allocation of MSW to existing treatment facilities.

Integrated MSW management systems provide solutions through systematic investigation of demands which handles the problem from different points [24]. Integrated MSW management is a contemporary and systematic approach to MSW management in a sanitary and environmentally friendly manner [25]. Dong et al. [26] showed that integrated MSW management facilities implementation could reduce the MSW and emissions. It is an approach for minimizing MSW generation and maximizing recycling without harming human health or environment to meet sustainable economic, environmental and social needs [27-28] by the application of proper methods and management programs [29]. Tsai et al. [30] claimed that the integrated MSW management approach seeks to create administrative strategies for efficient MSW management and sustainability. Marshall and Farahbaksh [31] also stated that an integrated MSW management aims to establish an efficient MSW management system by incorporating and integrating the interrelated processes along the entire MSW management chain. As a result, integrated MSW management is an increasingly complicated process involving various contributing factors, it is complex because a sustainable MSW management system needs to consider not only economic factors but also multiple environmental and social criteria to prevent short and long-term harmful effects on the environment and human health [32]. Integrated MSW management has been proposed as the top solution for least environmental impacts and enhanced recovery of resources from MSW [33-34].
disposal) is paramount. In coordination with sustainable development, this study aims to determine the weakness in the process of integrated MSW management and then provide theoretical support and rational decision-making references for the integrated MSW management combining with the region characteristics. Harbin is located in the severe cold region in northeast China, and it is characterized by severely cold winters lasting nearly half of the year [35]. Its unique cold weather not only leads to the limitations and challenges among MSW collection-transportation-treatment process, resulting in the ineffective MSW management, but also leads to the high overall operation costs of MSW management system. Therefore, developing an integrated MSW management system in Harbin is crucial for protecting resources, the environment, and public health. In this paper, an integrated MSW management synergy system in Harbin consisting of four subsystems (MSW separation subsystem, MSW collection subsystem, MSW transportation subsystem, and MSW disposal subsystem) is constructed from the perspective of whole life cycle theory. Besides, the synergy degree model was used to analyze the order degree value changes of the four subsystems and the synergy degree value changes of the synergy system by different MSW management processes, so as to scientifically reflect the systematic characteristics and development status in the process of integrated MSW management.

**Material and Methods**

**Study Area**

Harbin, the capital city of Heilongjiang province, is the economic and cultural center in northeastern China, and endowed with abundant natural resources such as forests, minerals, etc. In comparison with other Chinese regions, Harbin faces unique challenges in MSW management systems because of the extreme conditions in which it operates. Harbin experiences cold winters (hit an average of -30°C) for up to 5 months of the year, its distinctive climate and extreme cold winter permanently freezes the exposed MSW before getting timely collected, increasing the difficulties during the follow-up MSW transportation and treatment process. The frozen ground also increases the skidding risk of MSW transport vehicles in winter. Besides, the typical low ambient temperatures maximize heat loss and restrict microbial activity, negatively affecting treatment effectiveness of MSW incineration and compost stabilization. In addition, the population density in Harbin is lower than certain other Chinese regions, causing the longer MSW transportation distance and higher transportation costs. The combination of the conditions listed above distinguishes Harbin areas from other parts of southerly China. Due to the fact that the cost of MSW collection and transportation accounts for 60%-80% of the total MSW management system costs [36], these situations result in high overall operation costs of MSW management system, and even result in the risk of ineffective of MSW management. The selected study area (Fig. 1) is five districts in Harbin, namely Nan-gang District, Dao-li District, Dao-wai District, Xiang-fang District and Ping-fang District.

**Order Parameters**

According to synergy theory, variables that determine the system are called order parameters. The order parameter will in turn dominates the behavior of each subsystem and reflect the evolutionary trend of subsystems and synergy system. Based on the whole life cycle theory, the proper order parameters were selected from four dimensions of MSW management (Table 1), and then an integrated MSW management synergy degree measurement system was constructed.
These four subsystems affect and interact with each other, and their interactions influence the evolution of the entire integrated MSW management system. The coordinated development of the four subsystems will help to keep the entire system in an ordered and stable state, promote MSW management efficiency, and boost the environment sustainable development.

**Synergy Degree Model**

The synergy degree model can reflect the comprehensive development level of the integrated MSW management synergy system in the study area. Synergy degree is used to measure the degree of coordination and collaboration between the subsystems within integrated MSW management synergy system, which can be reflected by order degrees of order parameters in each subsystem.

It is assumed that the integrated MSW management synergy system consists of four subsystems, \( X = \{X_1, X_2, X_3, X_4\} \), that is, the MSW separation subsystem \( (X_1) \), MSW collection subsystem \( (X_2) \), MSW transportation subsystem \( (X_3) \), and MSW disposal subsystem \( (X_4) \).

The order parameters for subsystem \( X_i (i = 1, 2, 3, 4) \) are defined as \( X_i = \{X_{i1}, X_{i2}, \ldots, X_{in}\} \), \( n \geq 1 \), \( \beta_{i} \leq X_{ij} \leq \alpha_{i} \), \( j \in [1, n] \), with \( \alpha_{i} \) and \( \beta_{i} \) being the upper and lower limits of \( X_{ij} \) at the critical system stability point. In general, there are two kinds of order parameters: positive index refers to the order degree of the system increases along with the change of the order parameter and its component value; and the negative index refers to the order degree of system decreases accordingly.

Therefore, the order degree for the order parameters of the subsystem \( u(X_i) \) can be expressed as:

\[
u(X_i) = \begin{cases}
\frac{X_{ij} - \beta_{i}}{\alpha_{i} - \beta_{i}}, & j \in [1,k] \\
\frac{\alpha_{i} - X_{ij}}{\alpha_{i} - \beta_{i}}, & j \in [k+1,m]
\end{cases}
\]  

(1)

It can be known that the order degree of the order parameter of the subsystem \( u(X_i) \in [0,1] \), and the larger \( u(X_i) \) value is, the more order parameter contributes to the order degree of the subsystem will be. In addition, the total contribution of each order parameter to the subsystem also related to each weight of order parameter. The linear weighting method is used in this paper to integrate the order degree of subsystem, namely:

\[
u(X_i) = \sum_{j=1}^{n} \omega_j u(X_{ij}), \quad 0 \leq \omega_j \leq 1, \quad \sum_{j=1}^{m} \omega_j = 1
\]  

(2)

From Equation (2), \( u(X) \in [0,1] \), and the higher the \( u(X) \) value is, the higher the order degree of subsystem \( X \) will be. Among them, the weight coefficient \( \omega_j \) indicates the influence of the order parameter \( x_{ij} \) in the orderly operation of the subsystem. To make the results more objective and avoid subjective preferences, entropy weight method can be used to calculate the order parameters weights, which is expressed as follows:

We can first obtain the standardized matrix \( R = (r_{ij})_{m \times n}, \quad r_{ij} \in [0,1] \), according to Equation (1), where
rower $t$ is for the time period, column $n$ is for the number of the order parameter of each subsystem. Then, the entropy $H_j$ for the order parameter $j$ for each subsystem is:

$$H_j = -\frac{1}{\ln r} \sum_{i=1}^{r} f_i \ln(f_i), \quad j=1,2,\cdots,n$$

where $f_i = \gamma_i \sum_{j} f_{ij}$, and $f_i \ln(f_i) = 0$ if $f_i = 0$. The lower the information entropy $H_j$, the larger the entropy weight $\omega_j$, which means that the contribution of the index to the evaluation results is greater. Thus, the entropy weight $\omega_j$ for the order parameter $j$ for each subsystem can be calculated as:

$$\omega_j = \frac{1-H_j}{n \sum_{j} H_j}, \quad 0 \leq \omega_j \leq 1, \quad \sum_{j} H_j = 1$$

It is assumed that, the order degrees of the each subsystem of the integrated MSW management synergy system are $u^X_i(X)$ at a given initial time $t_0$, and the order degree change to $u^X_i(X)$ at time $t_n$ as the system dynamically evolves. Then, the integrated MSW management synergy degree $\rho$ can be defined as follows:

$$\rho = \theta \left[ \prod_{i=1}^{4} \left( u^X_i(X) - u^{X_{n-1}}(X) \right) \right]^\frac{1}{4}$$

From Equation (6), when the order degree of the four subsystems all increases from time $t_0$ to $t_n$, $\theta = 1$, otherwise, $\theta = -1$. Therefore, the range of the synergy degree value is $\rho \in [1,1]$. The larger $\rho$ is, the higher the synergy degree of the urbanization system will be; on the contrary, the smaller $\rho$ is, the lower the synergy degree of urbanization system will be.

The integrated MSW management dynamic synergy degree $\rho_d$ can also be defined as follows:

$$\rho_d = \theta \left[ \prod_{i=1}^{4} \left( u^X_i(X) - u^{X_{n-1}}(X) \right) \right]^\frac{1}{4}$$

### Data Resource

The original data of this study are obtained from the “Harbin Statistical Yearbook” (2011-2020), the “China Urban Construction Statistical Yearbook” (2010-2019), and some of the order parameter data were collected from surveys of households, which were picked by both simple random sampling and stratified sampling procedures. Samples were selected from Nan-gang District, Dao-li District, Dao-wai District, Xiang-fang District and Ping-fang District. Five communities were sampled in each of these districts, and twenty families in each community were issued questionnaires. Five groups of students in Harbin Engineering University participated in the research survey during November.

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Respondents were asked to score the performance of the order parameter using the 1 to 5 rating scale (poor to excellent) by year. In the end, 428 out of the 500 sampled households were surveyed in this study, yielding 85.60% response rate. And the integrated MSW management order parameter original data is shown in Table 2.

**Results and Discussion**

**MSW Separation Subsystem**

According to the interactive relationship of the five order parameters of the MSW separation subsystem from 2010 to 2019, Fig. 2 showed that the order curve was on a rising trend. The rate of the rise dramatically increased over the years, and the order degree reached a maximum of 0.9918 in 2019.

Considering the interactive relationship of the five order parameters, the publicity and education ($X_1$) made the most significance on the performance of MSW separation subsystem from 2011 to 2015. The main reason for this contribution was the remarkable fact that Heilongjiang People’s Government [37] proposed that the publicity and education on MSW separation should be strengthened during the “12th Five-Year Plan” period (2011-2015). Besides, “China’s 12th Five-Year Plan on Facility Construction of Municipal Solid Waste Harmless Disposal” [38] proposed that it was necessary to mobilize the people’s initiative of participating in the MSW management. And with the well-publicized of the MSW separation knowledge, the environmental-friendly spirit of public had been cultivated over the years, then the public were willing to participate in the MSW separation actively. And then China’s “13th Five-Year Plan” made it clear that to guide the residents to consciously carry out the classification of MSW [39]. Hence, the public participation ($X_2$) affected the most during the following period 2016-2019. Aware of the severity of environmental impacts from MSW management, the central and local Chinese governments have been developing strategical and tactical policies, laws and regulations, to improve the environmental performances of the MSW management systems. Moreover, the intensive implementation of relative mandatory policies and regulation ($X_3$) also had the significant effect on the performance of MSW separation subsystem. Government had been trying to implement more environmentally friendly MSW management mechanisms, instead of the dumping, persuading the public to sort their own MSW. It was a foundational step for improving the MSW separation system.

**MSW Collection Subsystem**

Fig. 3 showed that the synergetic development of MSW collection subsystem from 2010 to 2019 was in a good and orderly state and the order curve was on a rising trend. The rate of the rise fluctuated but gradually increased from 2010 to 2019, and the order degree reached a maximum of 1.0000 in 2019.

According to the mutual effect of the four order parameters, number of MSW containers ($X_2$) in Harbin was the greatest distributor to MSW collection.
subsystem from 2011 to 2012. It indicated that Harbin increased the MSW containers at the beginning of “12th Five-Year Plan” period according to the demands of making proper allocation of MSW collection bags and containers by the China’s National Development and Reform Commission and China’s Ministry of Housing and Urban-Rural Development [38]. Moreover, MSW is easy to be frozen in winter due to the unique cold weather and low temperature in Harbin, which will increase the difficulty and burdens of MSW collection. Furthermore, MSW collection is required to be reliability and accuracy in time in Harbin to decrease environmental pollutions when MSW was piled up for a long time. So, MSW collection frequency ($X_{21}$) was the important factor on MSW collection subsystem during the period of 2014-2016. Quantity of MSW collection ($X_{23}$) had the greatest effect on the performance of MSW separation subsystem from 2016 to 2019. The main

![Fig. 3. Order degree dynamic development for MSW collection subsystem and order parameters.](image)

![Fig. 4. Order degree dynamic development for MSW transportation subsystem and order parameters.](image)
reason was that the MSW collection quantity reflected the performance of the MSW collection process, and it would directly affect the people’s living environment. “China’s 13th Five-Year Plan on Facility Construction of Municipal Solid Waste Harmless Disposal” proposed that the municipalities should call for a high rate and high efficiency of MSW collection. Harbin was required to enhance the MSW collection and increase the collection quantity.

MSW Transportation Subsystem

Fig. 4 showed that the synergetic development of the order degrees of MSW transportation subsystem from 2010 to 2019 was in a good state with a high order degree. The order curve was on a rising trend, and the order degree reached a maximum of 1.0000 in 2019.

Considering the mutual effect of the three order parameters, number of MSW vehicles and equipment \((X_{31})\) greatly promoted the rise of the order degree of the MSW transportation subsystem during the period 2011-2015. This is because Harbin made overall planning for MSW transport stations coordination and management during the “12th Five-Year Plan” period (2011-2015), and more compression MSW vehicles and equipment had been constructed and upgraded as required by “China’s 12th Five-Year Plan on Facility Construction of Municipal Solid Waste Harmless Disposal” [38]. Besides, reasonability of transport times \((X_{32})\) and transport routes \((X_{33})\) were the important factors on MSW transportation subsystem during the period of 2016-2019. “China’s 13th Five-Year Plan on Facility Construction of Municipal Solid Waste Harmless Disposal” [39] further proposed that it was necessary to optimize the MSW transport routes and transport times. MSW usually get transported at night and implement off-peak operation with other social vehicles according to the traffic conditions in Harbin. Harbin also optimized the MSW transport routes reasonably according to the MSW collection quantity and transport distance to achieve the goal of high efficiency with reasonable costs, which promoted the synergetic evolution of the MSW transportation subsystem to a certain extent.

MSW Disposal Subsystem

Fig. 5 showed the order curve of MSW disposal subsystem was in a continuously rising trend since 2010, and the order degree reached a maximum of 1.0000 in 2019.

Considering the correlation of the four order parameters, MSW disposal technology \((X_{42})\) was the important factor on MSW disposal subsystem during the period of 2011-2014. Heilongjiang People’s Government [37] have made it a policy mandate to enhance and improve the MSW disposal technology, also demanded a drastic change in the MSW disposal method, vigorously urging to decrease the use of landfill and increase the use of incineration. At the same time, MSW disposal technology was required to be upgraded to support MSW disposal since 2015. Hence, it is indicated that quantity of MSW disposal \((X_{41})\) had the greatest effect on the performance of MSW disposal subsystem since 2015 was mainly attributed to the rise of the evaluation standards. “The Heilongjiang’s 12th Five-Year Plan for
Environmental Protection” [40] implemented an MSW disposal system and reached a disposal rate of at least 85% by 2015 in Harbin. During the “13th Five-Year Plan” period, MSW disposal rate was raised to reach 93% by 2020 [41]. This policy intervention resulted in a drastic increase in the performance of MSW disposal from 2015 to 2019. Harbin enhanced the level of its MSW disposal capacity, promoting this subsystem to realize the evolution of synergetic self-organization.

Integrated MSW Management Synergy System

According to Equations (5)-(7), the changes of synergy degree of the integrated MSW management synergy system from 2011 to 2019 can be obtained in Table 3. In order to reflect the cooperative evolutionary trend of each subsystem and the overall system more intuitively, the development trend is presented in Fig. 6.

As can be seen from Table 3 and Fig. 6, in 2011-2017, the synergy degree of the integrated MSW management synergy system showed an upward shock, and the order degrees of all the subsystems increased to varying degrees, indicating that the four subsystems have the ability for self-organization. Besides, the value of the dynamic synergy degree of the integrated MSW management synergy system was positive and steady, showing that the synergy system of integrated MSW management developed to an ordered state as a whole. From a dynamic point of view (with the base period being one year ahead of each year), the order degrees of all the subsystems every year were larger than the previous year after 2010, leading to the positive value of synergy degree from 2011 to 2019. Over this period, the MSW transportation subsystem order degree was relatively higher than the others, and the order degree of the MSW separation subsystem was the lowest.

During the “12th Five-Year Plan” period, it was noticed that the MSW transportation subsystem ($X_3$) was the highest level among all the subsystems, indicating that significant concerns have been raised regarding the improvement of MSW transportation network in Harbin. MSW transportation had been always imposed

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Table 3. Synergy degree of integrated MSW management synergy system.

<table>
<thead>
<tr>
<th>Year</th>
<th>$\rho$</th>
<th>$\rho_d$</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011</td>
<td>0.1139</td>
<td>0.1139</td>
</tr>
<tr>
<td>2012</td>
<td>0.2571</td>
<td>0.1348</td>
</tr>
<tr>
<td>2013</td>
<td>0.3591</td>
<td>0.0909</td>
</tr>
<tr>
<td>2014</td>
<td>0.4552</td>
<td>0.0949</td>
</tr>
<tr>
<td>2015</td>
<td>0.5478</td>
<td>0.0899</td>
</tr>
<tr>
<td>2016</td>
<td>0.6930</td>
<td>0.1356</td>
</tr>
<tr>
<td>2017</td>
<td>0.7979</td>
<td>0.1023</td>
</tr>
<tr>
<td>2018</td>
<td>0.8522</td>
<td>0.0191</td>
</tr>
<tr>
<td>2019</td>
<td>0.9866</td>
<td>0.1094</td>
</tr>
</tbody>
</table>

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Fig. 6. Dynamic development of synergetic degree of system and subsystems.
more demanding standards in Harbin due to its high latitude and severe cold climate in winter. Meanwhile, Heilongjiang People’s Government [40] proclaimed to improve the MSW transportation networks and established the professionalize environmentally friendly and efficient MSW collection and transportation system. Harbin had upgraded the existing facilities for MSW transportation, promoted compressed transportation equipment to avoid the spills and dirty during transportation. Additionally, the order degree of the MSW separation subsystem \((X_1)\) was the lowest before 2016, however it had been greatly accelerated in 2017, indicating that some achievements have been made in the MSW separation. Heilongjiang People’s Government [41] required Harbin to propose an MSW separation scheme according to the actual situation, and gradually promote the MSW collection to contribute a coordinated and efficient MSW collection system. In March 2017, the China’s National Development and Reform Commission and China’s Ministry of Housing and Urban-Rural Development [42] issued a plan for improving the MSW separation system, proposing new legislation and standards for MSW separation by 2020. Moreover, compared with the other subsystems, it is noticed that there was a remarkably increase in MSW disposal subsystem \((X_4)\) and MSW collection subsystem \((X_3)\) especially from 2016–2019, indicating that more attention had been paid to them during the “13th Five-Year Plan” period. Heilongjiang People’s Government [41] had given priority to MSW disposal facilities investment, and local government should increase the financial support to extend MSW collection coverage for centralized MSW disposal. MSW separation, collection and disposal had been gradually promoted since 2016, and the quality of the MSW management has been increasingly improved in Harbin.

Conclusions

Integrated municipal solid waste (MSW) management synergy system is a multi-dimensional, multi-structured, complex system that is affected by many factors. This paper developed an integrated MSW management synergy system consisting of four subsystems: MSW separation subsystem, MSW collection subsystem, MSW transportation subsystem, and MSW disposal subsystem from the perspective of whole life cycle theory. Harbin, China was chosen as the study area due to its distinct cold weather makes MSW management faces more challenges. The synergy degree model was used to analyze the order degree value changes of the four subsystems and the synergy degree value changes of the synergy system, so as to scientifically reflect the systematic characteristics and development status in the process of MSW management, and then further determine the vulnerable part of the integrated MSW management process. The results showed that the order degree of the MSW transportation subsystem of integrated MSW management synergy system in the study area improved continuously. Meanwhile, the order degree of the MSW separation subsystem developed slowly, staying at a relatively low level overall. As a higher synergy degree indicates higher efficiency, measures and policies should be formulated to focus on the MSW separation to keep pace with the integrated MSW management trends in Harbin.

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Conflict of Interest

The authors declare no conflict of interest.

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