

*Original Research*

# Delinking Indicators on Transport Output and Carbon Emissions in Xinjiang, China

Jie-fang Dong<sup>1,2,3</sup>, Jie-yu Huang<sup>1\*</sup>, Rong-wei Wu<sup>2,3</sup>, Chun Deng<sup>1</sup>

<sup>1</sup>Department of Economics and Management, Yuncheng University,  
Yuncheng 044000, China

<sup>2</sup>State Key Laboratory of Desert and Oasis Ecology,  
Xinjiang Institute of Ecology and Geography,  
Chinese Academy of Sciences, Urumqi 830011, China

<sup>3</sup>College of Resources and Environment,  
University of Chinese Academy of Sciences,  
Beijing 100049, China

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## Abstract

This paper identifies the driving forces of CO<sub>2</sub> emissions from 1990 to 2014 in Xinjiang's transport sector based on the logarithmic mean divisia index (LMDI) method. Then we introduce the decoupling index to further quantitatively analyze the delinking indicators on the transport sector's growth and environmental pressures. The results indicate that:

- 1) CO<sub>2</sub> emissions increased significantly with an average annual growth rate of 8.7%. On the contrary, energy intensity has declined constantly over the study period.
- 2) Economic growth, population size, industrial structure, internal structural and energy mix have proven to contribute to CO<sub>2</sub> emissions increases. Moreover, economic growth plays a critical role in the increment with a contribution of 13.23 million tons, followed by population size and internal structure.
- 3) Xinjiang's transport witnessed a fluctuating decoupling progress with weak decoupling as the theme. In particular, the decoupling state moved from weak decoupling in 1991-2000 with short-term volatility to weak decoupling in 2001-2010. However, the coupling relationship was strengthened during 2011-2014.
- 4) Energy intensity is the most important factor for explaining the dissociation in Xinjiang's transport sector. However, internal structural, industrial structure, and population size has turned out to be the obstacles in decoupling progress.

**Keywords:** transport carbon emissions, decoupling index, LMDI, Xinjiang

## Introduction

Global warming is one of the serious challenges for the sustainable development of human beings. The CO<sub>2</sub> emissions generated by human activities constitute one of the most significant contributing factors to global warming [1]. According to the World Energy Outlook 2014 report of the IEA, transport has produced the second largest amount of CO<sub>2</sub> emissions among all sectors around the world in 2012 [2]. Therefore, low carbon transport is of great significance to global carbon reduction.

With China's rapid economic development, its transport sector has experienced dramatic growth, leading to a large amount of related CO<sub>2</sub> emissions [3, 4]. Moreover, due to the lower energy efficiency, environmental problems in developing regions of developing countries are usually more severe [3, 5, 6]. For instance, Xinjiang Uygur Autonomous region, the biggest province in China (Fig. 1), has surging energy consumption demands and carbon emissions in the transport sector [7]. In 2013 the "One Belt, One Road" initiative was put forward by China's government [8-9]. By virtue of its unique geographic location, Xinjiang's transport sector will undoubtedly see rapid development after the implementation of this initiative [10-13]. Accordingly, there will be even more carbon emissions from the transport sector. Alongside the rapid growth of energy-related CO<sub>2</sub> emissions in the transport sector is huge environmental pressure as well as other potential risks on Xinjiang [14-16]. In this condition, it is urgent that we analyze the driving factors of carbon emissions for green development of transport in Xinjiang. Furthermore, examining the relationship between economic growth and environmental pressure of the transport sector will also provide important policy implications for low-carbon transportation.

Academically, there are several studies interested in transportation energy conservation and emissions reduction measures as well as the links between

transportation and other socioeconomic activities [17-28]. With increasing emissions from the transport sector and rising environmental awareness, CO<sub>2</sub> emissions mitigation has attracted more attention in China [15, 17, 21, 29-33]. Researchers have analyzed the carbon emissions of the transport sector from various perspectives. For instance, Liu et al. [34] divided China's transportation sector into four segments, namely highway, waterway, airway, and railway, and investigated their carbon emissions separately. With regard to the research methods, two approaches are widely used at present. They are the index decomposition analysis (IDA) [12-13, 35-39] and the econometric method [5, 10, 12, 16, 40-42]. Compared with the econometric method, the IDA method has some advantages, namely theoretical foundation, adaptability, ease of use, and interpretation of results [43-45]. Therefore, since the 1980s, literature on decomposition based on the IDA method has been extremely prolific in various countries and regions, including Denmark [46], Italy [47], Brazil [48], and OECD countries [49].

Though the driving factors of CO<sub>2</sub> emissions in the transport sector have been discussed extensively, there are two main shortcomings. Firstly, most of these studies focus on the national macroscopic level, and research on typical microscopic regions is rare. Due to China's imbalanced development, different regions are facing different challenges, leading to different CO<sub>2</sub> emission patterns. Therefore, it is necessary to undertake a microscopic study so that appropriate mitigation policies for the transportation sector can be raised by considering local realities. Secondly, most of these studies only use the LMDI method to analyze the influences of driving forces on CO<sub>2</sub> emissions. It is generally acknowledged that the LMDI method is appropriate for explaining the influences of variation rather than the stock [50, 51]. Thus, the whole responsiveness of environmental pressure to economic output change (especially the stock) is largely ignored. Under such circumstances, this paper aims to fill such a research gap by incorporating the decoupling index analysis into the framework of LMDI. There are two main advantages by combining these two approaches. On the one hand, the stock explanatory limitation of the LMDI method could be eliminated [52]. On the other hand, by combining index decomposition results and the decoupling index (especially the decoupling effort index), we can identify which factors and to what extent enhance or curtail carbon emissions [7, 52, 53].

## Materials and Methods

### Estimation of Transport Sector's CO<sub>2</sub> Emissions

By applying the methods that were proposed by the Intergovernmental Panel on Climate Change (IPCC) 2006 guidelines [54], this paper estimates CO<sub>2</sub> emissions related to the end-use energy consumption in Xinjiang's transport sector. The total energy-related CO<sub>2</sub> emissions can be calculated based on energy consumption and the

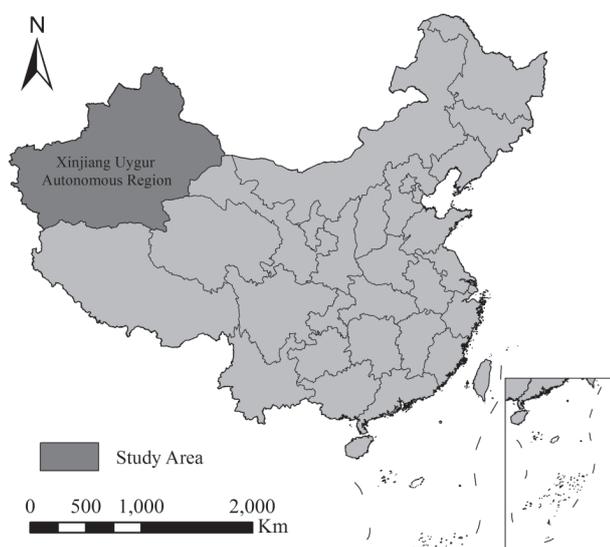


Fig. 1. Study area.

Table 1. CO<sub>2</sub> emission factors of various energy sources.

Fuel type	LCV(KJ/kg or KJ/m <sup>3</sup> )	Oxidation rate	Potential carbon content (kg C/GJ)	CO <sub>2</sub> Emissions Factor (tCO <sub>2</sub> /ton or 10 <sup>3</sup> m <sup>3</sup> )
Coal	28,435	0.928	29.5	2.860
Gasoline	43,070	0.986	18.9	2.925
Kerosene	43,070	0.980	19.6	3.033
Diesel oil	42,652	0.982	20.2	3.096
Natural gas	38,931	0.990	15.3	2.132

fraction of oxidized carbon by fuel and emission factors, as shown in the following equation:

$$C^t = \sum_i C_i^t = \sum_i E_i^t \times O_i \times EF_i \tag{1}$$

...where  $C^t$  denotes the total CO<sub>2</sub> emissions in year  $t$  and is quoted in 10,000 tons;  $C_i^t$  means the CO<sub>2</sub> emissions based on fuel type  $i$  in year  $t$ , while  $i = 1,2,3,4,5,6$  denotes the main six fuel types: coal, gasoline, kerosene, diesel oil, natural gas, and electricity;  $E_i^t$  represents the consumption of fuel type  $i$  in year  $t$  (GJ);  $O_i$  denotes the fraction of the carbon oxidized by fuel type  $i$ ; and  $EF_i$  denotes the CO<sub>2</sub> emissions coefficient of fuel type  $i$ . The potential carbon content, oxidation rate, and CO<sub>2</sub> emission factors are listed in Table 1.

According to the regional division of the State Grid, which was released by the China National Development and Reform Commission, Xinjiang belongs to the northwest regional power grid. Since 2008 China has successively promulgated baseline emission factors for different regional power grids. Table 2 shows the baseline emission factor of the northwest power grid over the period 2008-2013. Based on the baseline emission factor of the northwest power grid and previous studies [54, 56-59], the emission factor of Xinjiang electric power is determined to be 1.0175 tCO<sub>2</sub>/MWh.

### Index Decomposition Analysis

Considering the main purpose and data availability, the LMDI was chosen for this study. Combined with the expanded Kaya identity [60], this study applied both period-wise LMDI and time-wise LMDI to probing the driving factors of CO<sub>2</sub> emission from the transport sector in Xinjiang.

Based on previous research [43, 61], changes in energy-related CO<sub>2</sub> emission may be studied by quantifying the

contributions from changes in seven different factors. The aggregate CO<sub>2</sub> emission from the transport sector can be evaluated as follows:

$$C = \sum_i C_i = \sum_i \frac{C_i}{E_i} \cdot \frac{E_i}{E} \cdot \frac{E}{TO} \cdot \frac{TO}{SO} \cdot \frac{SO}{GDP} \cdot \frac{GDP}{P} \cdot P$$

$$= \sum_i F_i \cdot M_i \cdot I \cdot TS \cdot IS \cdot G \cdot P \tag{2}$$

...where  $C$  represents the total energy-related CO<sub>2</sub> emission from the transport sector;  $i$  is the type of energy; and  $E$  refers to the final energy consumption in the transport sector.  $TO$  and  $SO$  are added values of transport output and service industry output, respectively;  $GDP$  is gross domestic production in Xinjiang; and  $P$  denotes total population in Xinjiang. Accordingly, total carbon emissions from the transportation sector can be decomposed into seven effects: emission factor effect ( $F$ ); energy mix effect ( $M$ ); energy intensity effect ( $I$ ); internal structural effect in tertiary industry; the proportion of transportation output in total service industry ( $TS$ ); industrial structure effect, estimated by the proportion of service industry output in total GDP of Xinjiang Province ( $IS$ ); economic effect per capita GDP as the indicator for it ( $G$ ); and population effect ( $P$ ).

According to the LMDI method [43, 62-63], the changes of CO<sub>2</sub> emissions from year  $0$  to year  $T$  can be expressed in additive form as follows:

$$\Delta C_{tot} = C^t - C^0 = \Delta C_F + \Delta C_M + \Delta C_I + \Delta C_{TS} + \Delta C_{IS} + \Delta C_G + \Delta C_P \tag{3}$$

Table 2. Baseline emission factor of northwest power grid (tCO<sub>2</sub>/MWh).

Year	2008	2009	2010	2011	2012	2013
Northwest power grid	1.1225	1.0246	0.9947	1.0001	0.9913	0.9720

$$\begin{aligned}
 \Delta C_F^T &= \sum_{i=1}^n w_i \ln \frac{F^T}{F^0} \\
 \Delta C_M^T &= \sum_{i=1}^n w_i \ln \frac{M^T}{M^0} \\
 \Delta C_{TS}^T &= \sum_{i=1}^n w_i \ln \frac{TS^T}{TS^0} \\
 \Delta C_{IS}^T &= \sum_{i=1}^n w_i \ln \frac{IS^T}{IS^0} \\
 \Delta C_G^T &= \sum_{i=1}^n w_i \ln \frac{G^T}{G^0} \\
 \Delta C_P^T &= \sum_{i=1}^n w_i \ln \frac{P^T}{P^0}
 \end{aligned}
 \tag{4}$$

The term  $w_i$  is the estimated weight for the additive LMDI methods. This weight is defined as:

$$w_i = \frac{C_i^T - C_i^0}{\ln C_i^T - \ln C_i^0}
 \tag{5}$$

The index variable “ $t$ ” and “ $0$ ” denote the examined year and the base year.  $\Delta C_M^T$  denotes the changes of carbon emissions from the energy mix effect;  $\Delta C_I^T$  represents the changes from energy intensity effect;  $\Delta C_{TS}^T$  indicates the internal structural effect in the tertiary industry;  $\Delta C_{IS}^T$  is the changes from industrial structure; and  $\Delta C_G^T$  and  $\Delta C_P^T$  refer to the changes from economic effect and population effect, respectively.  $\Delta C_F^T$  denotes the changes of carbon emissions from the emission factor effect. In this study, we assume that the emission factors of various energy sources were unchanged during the study period, thus this term can be regarded as zero. Therefore, we rewrite Eq. (3) as:

$$\begin{aligned}
 \Delta C_{tot} = C^T - C^0 &= \Delta C_M + \Delta C_I + \Delta C_{TS} + \Delta C_{IS} + \\
 &+ \Delta C_G + \Delta C_P
 \end{aligned}
 \tag{6}$$

### Decoupling Index

#### Decoupling Elasticity Index

According to Tapio [53], decoupling index of CO<sub>2</sub> emissions from economic growth can be expressed as elasticity values under 1.0, where the percentage change of CO<sub>2</sub> emissions is divided by the percentage change of GDP in a given period. We use  $\delta$  to indicate the decoupling index, and it can be expressed as:

$$\delta = \frac{\% \Delta C}{\% \Delta TO} = \frac{\Delta C/C}{\Delta TO/TO}
 \tag{7}$$

Based on the studies of Vehmas et al. [64] and Tapio [53], the eight degrees of coupling and decoupling can be distinguished. Specifically, the growth rate of CO<sub>2</sub> emissions and GDP can be coupled, decoupled, or negatively decoupled. The decoupling state can be further divided into three sub-states: in weak decoupling (WD), CO<sub>2</sub> emissions and GDP both increased ( $0 \leq \delta < 0.8$ ); strong decoupling (SD) when GDP grows and CO<sub>2</sub> emissions decreases ( $\delta < 0$ ); and recessive decoupling (RD) when GDP and CO<sub>2</sub> emissions both decrease ( $\delta > 1.2$ ). Similarly, negative decoupling (ND) includes three subcategories: in weak negative decoupling (WD), both CO<sub>2</sub> emissions and GDP decreased ( $0 \leq \delta < 0.8$ ); in expansive negative decoupling (END), CO<sub>2</sub> emissions and GDP both increased ( $\delta > 1.2$ ); for strong negative decoupling (SND), CO<sub>2</sub> emissions increased and GDP decreased ( $\delta < 0$ ). The growth of CO<sub>2</sub> emissions and GDP can be positive or negative, so the last two states are expressed as expansive coupling (EC:  $0.8 \leq \delta < 1.2$ ) and recessive coupling (RC:  $0.8 \leq \delta < 1.2$ ), respectively.

#### Decoupling Effort State

In general, measures of CO<sub>2</sub> emissions reduction include improving energy efficiency, switching fuel to cleaner energy types, upgrading industrial structure, and controlling population. According to the definition given by Diakoulaki and Mandaraka [65], the effort is a general term referring to all actions directly or indirectly reducing CO<sub>2</sub> emissions. Thus, the relative contribution of each factor was identified in the overall decoupling progress. Therefore, the effort ( $\Delta E$ ) can be represented as the sum of the five explanatory factors, then we rewrite Eq. (6) as follows:

$$\Delta E = \Delta C_{tot} - C_G = \Delta C_M + \Delta C_I + \Delta C_{TS} + \Delta C_{IS} + \Delta C_P
 \tag{8}$$

In order to assess the degree to which these efforts are effective in term of the dissociation between CO<sub>2</sub> emissions and GDP growth, we defined the decoupling effort index  $D$  as the fraction of output effect that is offset by emission reduction efforts:

$$\begin{aligned}
 D &= -\frac{\Delta E}{\Delta C_G} = -\frac{\Delta C_M}{\Delta C_G} - \frac{\Delta C_I}{\Delta C_G} - \frac{\Delta C_{TS}}{\Delta C_G} - \frac{\Delta C_{IS}}{\Delta C_G} - \\
 &-\frac{\Delta C_P}{\Delta C_G} = D_M + D_I + D_{TS} + D_{IS} + D_P
 \end{aligned}
 \tag{9}$$

If  $D_i \geq 1$ , which implies a strong decoupling effort, putting it in another way, the total CO<sub>2</sub> emissions reduction

effect is greater than the driving effect of GDP growth. If  $0 < D_t < 1$ , which indicates the relative decoupling effort, in other words, it means the CO<sub>2</sub> emissions reduction effect is weaker than the driving effect. If  $D_t \leq 0$ , which denotes no decoupling effort, we can say that the possible inhabiting factors do not reduce CO<sub>2</sub> efficiently but increase instead.

### Data Description

According to the Classification of National Economic Industries [66], the transportation sector in this paper is composed of transportation, storage, and postal services. Total energy consumption from the sector was collected from the China Energy Statistical Yearbook (CESY) published by the National Bureau of Statistic (NBS, 1990-2015) [67]. Six types of fuels were considered for computation in this study: coal, gasoline, kerosene, diesel oil, natural gas, and electricity, and these data were quoted from the energy balance table of XSY (Xinjiang Statistical Yearbook) [68]. Population data and GDP values from the transportation sector and from the service industry were also extracted from XSY. In order to eliminate the inflation effect, we converted the economic output values from the current price to the constant price in 1990 using GDP indices (preceding year = 100).

## Results and Discussion

### The Trajectory of CO<sub>2</sub> Emissions from Transportation

#### Macro-Level: Total and Per Capita CO<sub>2</sub> Emissions from Transportation

According to Eq. (1), total CO<sub>2</sub> emissions from transportation and the per capita CO<sub>2</sub> emissions in Xinjiang during 1990-2014 were calculated, and the results are shown in Fig. 2. In terms of the variation characteristics, the development of CO<sub>2</sub> emissions from the transportation sector in Xinjiang since 1990 can be divided into two

phases: a relatively slowly increased phase (before 2004) and a fast increased phase (after 2004). As such, the per capita CO<sub>2</sub> emissions followed a similar trend. In the first phase, the average growth rates of CO<sub>2</sub> emissions and per capita CO<sub>2</sub> emissions were 7.53% and 5.38%, respectively. However, these indicators were up to 12.83% and 11.05% during 2004-14, namely the second phase. The significant difference of growth rate between these two phases can be explained by the Western Development Strategy, which was launched in 2001. Due to the hysteresis of transportation infrastructure investment, the promotion on transportation energy consumption didn't take effect until three years later.

#### Micro-Level: Carbon Emission Structure

Energy consumption mix has an important influence on carbon emissions. Figure 3 illustrates the CO<sub>2</sub> emissions from each fuel type during 1990-2014. Three important results can be drawn from Fig. 3. Firstly, as a whole, the carbon emissions from all fuel types kept increasing in the study period, especially since 2004. This indicates that economic abundance grows quickly, bringing new opportunity for transportation. According to XSY, the lengths of road transport lines has increased markedly since 2004. Moreover, road traffic is the main method of transportation in Xinjiang. Therefore, the CO<sub>2</sub> emissions due to fuel combustion rose sharply. Secondly, it is diesel oil rather than gasoline that turns out to be the biggest emitter of carbon emissions. This is caused by increased diesel combustion due to the usage of trucks for road freight transport, which was, is, and will remain the dominant mode of transportation in Xinjiang. Thirdly, as for cleaner energies, namely natural gas and electricity, they had steady growth but still account for a very small slice among all fuel types. However, it should be noted that since 2012, almost half a million cars and buses and more than 100,000 private cars were using liquid natural gas (LNG) for eco-friendliness and higher efficiency. This is potentially a fundamental way to reduce CO<sub>2</sub> emissions in Xinjiang.

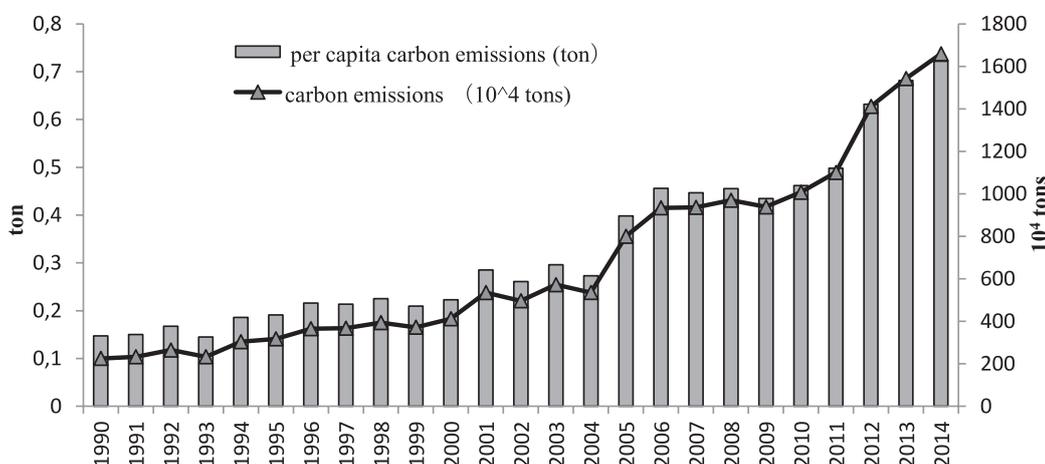


Fig. 2. Carbon emissions of the transportation sector in Xinjiang, 1990-2014.

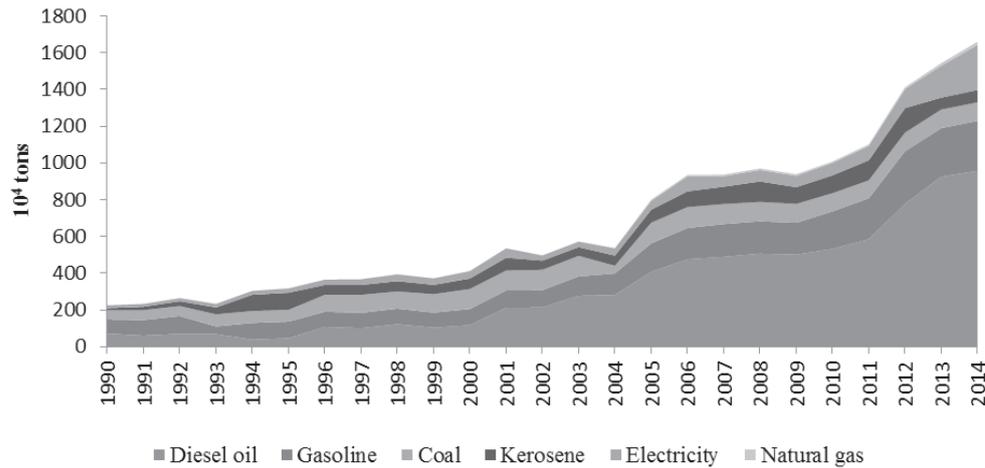


Fig. 3. Carbon emissions from six main energy types from 1990 to 2014.

### Reasons for Carbon Emissions from the Transportation Sector in Xinjiang

The decomposition results were reported in Fig. 4. The results are useful for identifying the main reasons for CO<sub>2</sub> emission variations that took place in Xinjiang’s transportation sector over the period 1990-2014.

According to Fig. 4, the economic effect has been the most important driver of energy-related CO<sub>2</sub> emissions increases. Moreover, the economic effect was gradually enhanced during the study period. In particular, it has grown from 12.76 10<sup>4</sup> tons in 1990 to 128.4 10<sup>4</sup> tons in 2014, with the highest value (132.5 10<sup>4</sup> tons) in 2013. This indicates that economic growth in the transport sector had been dependent on energy consumption. Over the past decades, Xinjiang’s transportation sector has developed rapidly. According to XSY, the length of transport routes has grown rapidly. Specifically, the length of railways in operation increased by 4,417 km during 1990-2014, with the annual growth rate of 6.3%. What’s more, the lengths of highways and total civil aviation routes have faster annual growth rates of 8.4% and 7.6%, respectively. The increase in the length of transport routes has greatly

contributed to the development of the logistics industry, which led to a rapid increase of carbon emissions in the transportation sector.

In addition to economic effect, it should be noted that the population effect also plays a positive role in the increment of CO<sub>2</sub> emissions. Furthermore, the trend of this role has continuously strengthened in the study period. This result is quite different from most of the existing conclusions in which the role of the population effect has been weakened. In China, Xinjiang is a special area due to it not only being a frontier region, but also a gathering area of ethnic minorities (especially the Uigur nationality). In China, the population policies which were implemented in ethnic minority areas were more liberal than those of Hans. The implement of promote population fertility policies resulted in a more rapidly natural population growth rate. In the study period, the natural growth rate of Xinjiang’s population was approximately 12.48%. This rate is 4.2% higher than the national average, which was only 8.28%. The growth in population inevitably drives the increase of transportation-related energy consumption, which correspondingly elevates the level of CO<sub>2</sub> emissions.

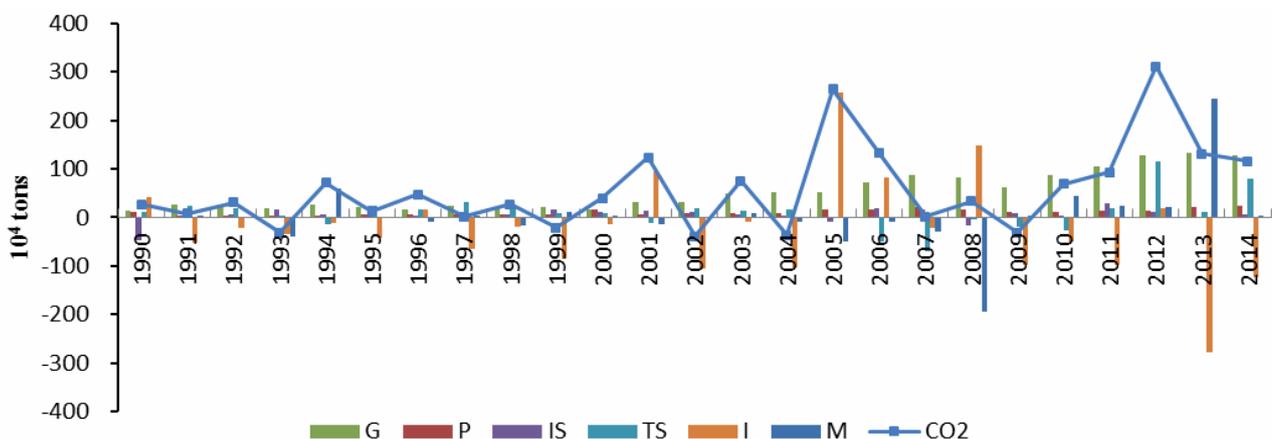


Fig. 4. Driving forces of CO<sub>2</sub> emissions from Xinjiang’s transport sector during 1990-2014.

Contrary to the above-mentioned effects, the other four effects – namely energy mix effect, energy intensity effect, internal structural effect, and industrial structure effect – more or less play a role in inhibiting carbon emissions. In other words, energy structure optimization, industrial structure upgrading, and energy efficiency improvement can inhibit the increase of carbon emissions on different levels. However, it should be noted that the structural effects (include *TS* and *IS*) are far from fully playing the role in impeding CO<sub>2</sub> emissions. For example, the values of industrial structural effect were negative only in 1990, 2005, 2008, and 2013, which sufficiently indicates that the adjustment of the industrial structure in Xinjiang is both urgent and arduous.

With regard to energy mix effect, the role of promotion and inhibition match each other in strength. In particular, the energy mix effect increased CO<sub>2</sub> emissions over 13 years while reducing CO<sub>2</sub> emissions in the remaining 12 years. Fig. 4 shows that from 2004 to 2008, the energy mix effect significantly inhibited CO<sub>2</sub> emissions. Especially in 2008, the value of this effect was low (to -195.43), and it even exceeded the promoting effect of the economic effect. The underlying cause may be the widespread use of natural gas in Xinjiang's transport sector.

Furthermore, it can be found that the energy intensity effect proved to be a major inhibiting factor as far as factors are considered in this study. As the ration of energy consumption and GDP, the energy intensity indicator is suited to account for energy saving and energy efficiency measures. Over the period of 1990-2014, energy intensity in Xinjiang's transport declined from 15.41 tce/10,000 yuan to 4.49 tce/10,000 yuan with an average decline rate of 5.01%. As Fig. 4 shows, the decreased energy intensity caused -5.71 million tons of carbon emissions reduction accumulatively. Since 2008, Xinjiang has attached great importance to energy conservation and emission reduction in the transportation sector, which has resulted in the improvement of technical efficiency and resource use efficiency. Thus, over the period of 2009-14, the energy intensity effect has played a more important role in curbing CO<sub>2</sub> emissions.

### Analysis of Decoupling Indexes

In this paper, we combined the decoupling index analysis with the LMDI method. The decoupling index performed well when investigating the stock of CO<sub>2</sub> emissions and brought insight into the in-depth relationship between CO<sub>2</sub> emissions and economic growth. In this section, this study shows the results of decoupling state from two perspectives: decoupling elasticity index and decoupling effort index, respectively. Moreover, based on the results of the decoupling state, we will further decompose the decoupling effort index to identify which factors promote or hinder the increase of CO<sub>2</sub> emissions as well as to what extent.

### Decoupling State

Based on the decoupling index and decoupling effort index, the relationship between economic growth in Xinjiang's transportation sector and CO<sub>2</sub> emissions is explored deeply. Table 3 shows the results of decoupling analysis on two different time scales using one-and five-year plans. The reason for selecting the five-year time scale is that it corresponds to China's five-year development plans.

The decoupling state in each year was determined by the values of  $\delta$ . As shown in Table 3, the elasticity values ( $\delta$ ) demonstrated volatile fluctuations, ranging from -0.65 in 1993 to 5.48 in 2005. However, the amplitude of the fluctuation is gradually diminishing. Generally speaking, the transport sector in Xinjiang had witnessed a relatively obvious tendency toward decoupling. In particular, the decoupling state moved from weak decoupling in 1991-2000 with short-term volatility (SD in 1993 and 1999) to weak decoupling in 2001-2014. From the frequencies of the various decoupling states, we can find that during the 24-year study, WD appeared 11 times with the highest frequency, followed by SD (five times), EC (four times), and END (expansive negative decoupling, four times). Therefore, we can conclude that decoupling has not yet been achieved in Xinjiang's transportation sector.

From the perspective of decoupling effort index, which demonstrated much more volatile fluctuations, the results of decoupling state can be obtained. Specifically, the index of decoupling effort ranges from -4.06 in 2005 to 2.58 in 1993, which is in line with the results of the decoupling index. In Table 3, it is worth noting that those of decoupling index of 10 years in 24 years were "no decoupling effort," indicating that neither improvement of energy efficiency nor structural adjustment plays its due role in promoting the progress of decoupling in these years.

Generally speaking, the results of the decoupling index and decoupling effort index are similar through comparative analysis. Moreover, Xinjiang's transport had witnessed a fluctuant decoupling progress with weak decoupling as the theme. It should be noted that over the period of 2001-2005, the decoupling level between transport output and CO<sub>2</sub> emissions was relatively low, especially when compared with that in 1991, in which case it remained as weak decoupling. According to XSY, the output of Xinjiang's transport sector has experienced a slow increase and the average growth rate during 2001-2005 was down to 0.21%. However, the CO<sub>2</sub> emissions had increased sharply over the same period. It can be seen from Table 3 that the increment of CO<sub>2</sub> emissions reached 2.65 million tons during 2001-2005, with the average growth rate being 10.7%. As such, the decoupling effort state from 2001 to 2005 was "no decoupling effort." Similarly, the same situation occurred during 2011-2014. In 2000, the Strategy of West China Development was implemented in Xinjiang. And in

Table 3. Decoupling state and decoupling effort state.

Time series	Year	$\delta$	$\Delta C$	$\Delta TO$	Decoupling state	$D$	Decoupling effort state
One Year	1990-1991	0.120	7.763	4.213	WD	0.713	Weak
	1991-1992	0.548	31.457	4.636	WD	-0.189	None
	1992-1993	-0.651	-31.552	4.297	SD	2.579	Strong
	1993-1994	3.134	70.875	2.694	END	-1.799	None
	1994-1995	0.230	13.641	5.942	WD	0.370	Weak
	1995-1996	1.153	47.613	4.734	EC	-2.074	None
	1996-1997	0.031	2.221	8.064	WD	0.905	Weak
	1997-1998	0.411	26.399	8.612	WD	-0.253	None
	1998-1999	-0.373	-21.623	8.450	SD	2.015	Strong
	1999-2000	0.742	39.766	9.550	WD	-1.396	None
	2000-2001	3.149	123.271	7.208	END	-2.862	None
	2001-2002	-0.504	-38.596	11.880	SD	2.182	Strong
	2002-2003	1.008	75.622	14.339	EC	-0.556	None
	2003-2004	-0.423	-36.538	16.504	SD	1.720	Strong
	2004-2005	5.481	264.294	11.322	END	-4.061	None
	2005-2006	2.329	134.185	9.873	END	-0.830	None
	2006-2007	0.038	2.159	8.967	WD	0.975	Weak
	2007-2008	0.409	33.301	13.569	WD	0.601	Weak
	2008-2009	-0.461	-31.314	11.867	SD	1.506	Strong
	2009-2010	0.928	68.809	14.331	EC	0.215	Weak
2010-2011	0.536	93.306	33.861	WD	0.123	Weak	
2011-2012	1.172	310.919	55.331	EC	-1.429	None	
2012-2013	0.787	131.114	33.621	WD	0.011	Weak	
2013-2014	0.469	116.376	51.286	WD	0.094	Weak	
Five-Year Plan	1991-1995	0.388	84.421	17.568	WD	0.235	Weak
	1996-2000	0.152	46.763	34.726	WD	0.035	Weak
	2001-2005	0.760	264.782	54.045	WD	-0.793	None
	2006-2010	0.236	72.954	48.733	WD	0.474	Weak
	2011-2014	0.831	558.409	140.239	EC	-0.316	None

2001, China successfully joined the WTO. Moreover, in 2013 the Chinese government put forward the “One Belt, One Road” initiative. The implementation of this series of policies promoted the construction of Xinjiang transportation infrastructure greatly. In turn, the large-scale expansion of transportation inevitably has given rise to more carbon emissions.

#### *The Decomposition of Decoupling Effort Index*

The decoupling effort index is defined as the ratio of the sum of energy mix effect, energy intensity effect, internal

structural effect, industrial effect, and population effect to the change of economic effect. During 1991-2014, the economic effect caused the continuous increase of CO<sub>2</sub> emissions, which showed in turn that this effect played a crucial role in the decoupling block. Fig. 5 presents the decoupling effort index together with the contribution of each single type of effort.

In order to illustrate the results clearly and consider the significant influence of the Five-Year Plan in Xinjiang, the decomposition results of decoupling effort index are shown in Fig. 5 on a five-year time scale.

As shown in Fig. 5, the decoupling effort index ranging from -0.79 during 2001-2005 to 0.47 during

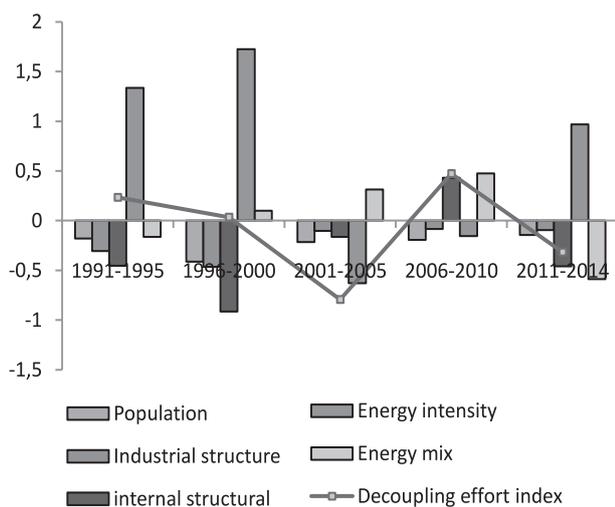


Fig. 5. Decomposition of decoupling effort index on CO<sub>2</sub> emissions in Xinjiang's transportation sector, 1991 to 2014.

2006-2010, indicating that the decoupling progress in Xinjiang's transport sector demonstrates slight fluctuations. Moreover, there are three "weak decoupling" efforts and two "no decoupling" efforts over the study period. In order to identify the contribution of each single type of effort, this study analyzed each factor separately below.

The most important factor explaining the dissociation refers to the energy intensity effect except for the periods 2001-2005 and 2006-2010. During 1991-2000, the energy intensity effect was even greater than one, which implies the promoting effect on decoupling between CO<sub>2</sub> emissions and economic output. Overall, the energy intensity effect accounts for 45.5% of the total decoupling effort index during 1991-2014. Generally, owing to optimization of transportation structure (more rail transport), improvement of energy use efficiency of vehicles, and relatively developed transport infrastructure in urban areas, the energy intensity in Xinjiang's transport declines significantly. Therefore, as the most important inhibiting factor to carbon emissions, energy intensity has proven to be the biggest contributor to the decoupling relationship.

As for the energy mix effect, it played a positive role in decoupling progress from 1996 to 2010. On the contrary, during the period of 1991-1995 and 2011-2014, it hinders the decoupling process. Changes in the proportion of various energy consumptions are the main reason for this phenomenon. The fuel in the transport sector toward natural gas and electricity from gasoline and petroleum products, which may explain the fact that the energy mix effect promotes the progress of decoupling. However, according to XSY, the rapid growth in the proportion of diesel consumption impedes the decoupling process from 2011 to 2014.

The influence of structure on the process of decoupling has been analyzed from two perspectives, namely industrial structure effect and internal structure effect.

It can be seen from Fig. 5 that both industrial structure effect and internal structure effect play negative roles in the decoupling progress with an exception of industrial structure effect during 2006-2010. Moreover, the industrial structure effect plays a more pronounced role compared with the internal structure effect. This fully indicates that optimizing the industrial structure can make a greater contribution to carbon emission reduction.

The population effect generally results in a constant increase in CO<sub>2</sub> emissions over the study period, which does not contribute to decoupling. In other words, this means that ongoing population growth hinders decoupling. Although over decades China has implemented strict family planning policies, the natural population growth rate is still high in Xinjiang. According to XSY, the average growth is 11.6‰ over the examined period, which is about two times the average value in the whole country.

## Conclusions

Due to vast territory and rapid economic development, energy-related carbon emissions have increased rapidly in Xinjiang's transportation sector. To clarify, the driving factors of carbon emissions are significant for energy conservation and emission reduction as well as the development of low-carbon transportation. This paper calculated the total carbon emissions of Xinjiang's transportation sector over the period of 1990-2014. Then, in order to identify the factors promoting or hiding decoupling progress as well as to what extent, an in-depth analysis was conducted by combining the additive LMDI method, the decoupling elasticity index, and the decoupling effort index. The main conclusions obtained from our research are as follows.

During 1991-2014, both the total carbon emissions and per capita carbon emissions in Xinjiang's transportation sector exhibited an obvious upward trend. Specifically, the total carbon emissions increased from 2.25 million tons in 1990 to 16.59 million tons in 2014, with an average annual growth rate of 8.7%. The per capita carbon emissions increased from 0.14 t to 0.72 t, representing an average annual growth rate of 6.8%. However, the energy intensity has declined constantly over the study period. As for the energy mix, diesel oil rather than gasoline proved to be the biggest emitter of carbon emissions due to the dominant position of large trucks in Xinjiang's transportation system.

Economic growth turned out to be the main contribution to increased CO<sub>2</sub> emissions in Xinjiang's transportation sector. In addition, the ongoing population also plays a positive role in the increment of CO<sub>2</sub> emissions. On the contrary, energy intensity has proven to be the most important inhibiting factor to CO<sub>2</sub> emissions. It was decreased by -6.12 million tons of CO<sub>2</sub> emissions during 1991-2014. However, when considering the structure effect, neither industrial structure nor internal structural effect reduced CO<sub>2</sub> emissions effectively. Moreover,

because the diesel-based energy mixes in Xinjiang's transport was not improved over the study period, the energy mix effect was increased by 0.46 million tons of CO<sub>2</sub> emissions over the study period.

Xinjiang's transportation had witnessed a fluctuant decoupling progress with the weak decoupling is the theme. In general, the results of the decoupling index and decoupling effort index are similar through comparative analysis. It is worth noting that during 2011-2014, the coupling relationship between CO<sub>2</sub> emissions and transport output has been further strengthened. This may be caused by the improvement of transportation infrastructure and the rapid development of the logistics industry in recent years.

As shown by the decomposition results of the decoupling effort index, the energy intensity effect is the most important factor explaining the dissociation, indicating that improving energy efficiency is the primary means of decoupling. However, the structure effect, including industrial structure effect and internal structure effect, does not play its due role, especially the industrial structure effect. This shows that there is great potential in optimizing and upgrading the industrial structure. In addition, both population effect and energy mix effect play a positive role in the decoupling progress apart from individual years. Therefore, rational control of population size and effective adjustment of energy structure were important measures for realizing low-carbon transportation in Xinjiang.

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