Impact of Underground Coal Mining on Regional Landscape Pattern Change Based on Life Cycle: A Case Study in Peixian, China

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Abstract

Landscape pattern change (LPC) can provide comprehensive insight into the effects of mining activities on regional ecosystems. This paper examined the impact of coal mining on LPC of Peixian mining area, China. An analysis of LPC was conducted based on GIS-RS technology from 1994 to 2014. The influence of coal mining on the LPC was studied quantitatively from the perspective of life cycle. The following conclusions were drawn:

1) The LPC of the study area changed significantly during the study period. Landscape type index showed a rising trend in fluctuation, and landscape fractal characteristics tended to be simplified and regularized.

2) Landscape indices of cultivated land and waterlogged subsidence zones were highly correlated with annual coal production, and the correlation coefficients were -0.634, -0.748 and 0.991, respectively.

3) The abrupt changes of largest patch index (LPI) and aggregation index (AI) of cultivated land occurred in 1999 and 2001, respectively. The LPI of waterlogged subsidence zones had a sustained upward trend since 1995, but the AI had a significant rising trend in 1997-2006 and 2011-2013.

These results could provide useful guidance on sustainable land use planning and restoring the damaged landscape for underground coal mining areas.

Keywords: landscape pattern change; life cycle; Mann-Kendall test; remote sensing; underground coal mines

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Human activities have a widespread impact on ecosystems [1]. In the last century, the irresponsible use of natural resources has become one of the most acute problems threatening nature and the environment [2-4]. Therefore, global warming, air pollution, forest and grassland degradation, the irresponsible use of water resources, and the sustainable use of natural resources in all areas have been brought to the agenda [4-7]. Ecologists have improved their understanding of ecosystem processes, including landscape dynamics, energy and material circulation of species and the driving mechanism from different spatial scales [8-9]. In coal mining areas, a special and complex type of geographic area, long-term, large-scale and intense mining inevitably damage the primary geology of coal deposits, occupy and even destroy large amounts of land and cause vegetation degradation, soil erosion by water, a decline in biodiversity and other serious ecological problems [10-12]. Due to the complex nature of the underlying mechanism by which coal mining influences regional ecological environments, it is relatively complicated and challenging to prevent ecological damage, repair disturbed land and improve ecological environments in mining areas.

Life cycle is the sequence of life stages that an organism undergoes from birth to reproduction, ending with the generation of offspring, including birth, growth, maturity, reproduction and recession until death [13]. Since being proposed in 1966 by Raymond Vernon, an American economist, the product life cycle theory has been widely applied to many fields, such as economics, sociology and environmental sciences [14-16]. As a non-renewable resource, the exploitation and utilization of coal mines have an obvious life cycle, including four major phases: formation, development, stability and recession. For a long time, the exploitation and utilization of coal resources also have had a great impact on the ecological environment, e.g., land destruction, vegetation degradation and water pollution [17-19]. The research of applying life cycle theory to a coal mine is helpful in analyzing the forms and levels of environmental pollution and damage caused by coal mining, as well as the ecological risk characteristics in each life cycle phase.

Land use and land cover change (LUCC), a primary result of human activities acting on the Earth's surface, closely correlate with ecological processes such as biodiversity, hydrological processes and ecological functions, having become an important topic for research on global climate and environmental changes [20]. Quantitative landscape information over time is considered the first necessity for understanding consequences from landscape changes [21]. In recent years, various means such as remote sensing (RS) and geographic information system (GIS), as well as a large number of indices representing the geometric features of landscape, have been widely used in the study of landscape pattern changes [8, 22-24]. LPC in coal mining areas are a comprehensive reflection of the impact of mining activities on local ecosystems. Strong anthropogenic disturbances can drastically modify landscape structures and change or degrade landscape functions, exacerbating the deterioration of the ecological environment, which has attracted attention from many scholars [25-26]. A number of studies are focusing on three aspect: quantifying the spatiotemporal variations of land cover and landscape pattern [27-29], identifying the possible driving forces of change [30-31], and assessing the impacts of these changes on the regional eco-environment caused by coal mining [32-34]. But, those studies have focused on land use status, the evolution of landscape pattern, forecasting future, etc., which lacks comprehensive analysis of the correlation between ecological processes and their causes, and neglects environmentally sensitive life cycle development of the study area.

China is one of the largest coal producers in the world and coal production in 2014 was 3.87 billion tons. But more than 92% of the coal comes from underground mining, causing a large area of surface subsidence. It is estimated that extracting 10 kilotons of coal is associated with subsidence of 0.20-0.33 hm² of land on average. A conservative estimate of greater than 100 × 10⁴ hm² of land had subsided by the end of 2013 due to coal mining, and subsidence in this area is still increasing at a rate of 3.0-4.7 × 10⁴ hm² per year [35]. Coal mining collapse has destroyed the original water system and vegetation, and the physical and chemical properties of soil have been changed, which caused the heterogeneity and fragmentation of landscape structure. Also, coal mining collapses lead large arable lands to become desolate marshes [17, 33]. It is obvious that coal mining collapse has greatly damaged the landscape of the coal mining area, and has also caused a series of ecological and environmental effects, such as air pollution, soil degradation, vegetation carbon storage loss, and change of ecosystem service value [32, 35-37]. Therefore, the research of ecological destruction and landscape restoration in the processes of coal exploitation and utilization have become an important field.

This study used landscape ecology theory, GIS, and geostatistics methods to discuss the impact of underground coal mining on landscape pattern change of the Peixian coal mining area. Landscape types and pattern changes in the study area were quantitatively investigated using remote sensing imagery. At the same time, the relationship between changes of landscape indices and coal production were examined from the perspective of life cycle. The results could provide useful guidance on making sustainable land use planning and restoring the damaged landscape for an underground coal mining area.
Materials and Methods

Study Area

The study area is located on the northern edge of Peixian County, in Xuzhou City (Fig. 1), Jiangsu Province, which lays along the border with Shandong Province between longitudes 116°41′ and 117°09′E and latitudes 34°28′ and 34°59′N. Peibei mining area, a coal-producing region in Peixian, forms an important part of the largest coal production base in eastern China. Coal mining, together with aluminum fabrication, coal-to-chemical production and other related industries, accounts for over 55% of the county’s economy. With proven coal reserves of 2.4 billion tons, this mining area was developed in 1970 and production started in 1976. Currently, it has 8 producing mines with a total annual capacity of 12 million tons, with others having been closed due to the downward trend of the coal industry. Local mining activities over the past three decades have caused subsidence of approximately 5000 hm² of land in total. Ensuing ecological problems include serious waterlogging in the subsidence zones, extensive land damage and degradation (especially cultivated land), loss of vegetation, landscape fragmentation and soil contamination. The drastic decline in the ecological quality of land has contributed to concerns regarding ecological safety and food security in the study area.

The subsidence zones induced by coal mining in Peixian can be classified as sloping, seasonally waterlogged or permanently waterlogged, similar to those in Huainan, Huaibei, Yanzhou and Tangshan mining areas, China.

Data Sources and Processing

Remote sensing images from six periods were used as the data source, including a SPOT-2 panchromatic image acquired on October 5, 1994 (K-J identification 285-280), and Landsat TM (Thematic Mapper) acquired on October 24, 1994 (path 122, row 36), SPOT-4 multispectral image acquired on September 8, 1998 (K-J identification 285-280), SPOT-5 multispectral images acquired on July 21, 2002, June 6, 2006 and October 5, 2010 (K-J identification 285-280), and GF-1 multispectral images acquired on October 24, 2014 (path 603, row 97 and 98). All the images used were produced on summer or autumn days with relatively good weather, and are of ideal quality. The images from 2010 were used as reference images following geometric correction against a 1:10000 topographic map using ERDAS Imagine 9.3, a software application for image processing. Geometric correction of other images was performed using a quadratic equation, with the purpose being reduction in the geometric errors to less than 0.5 pixels, thereby achieving the desired accuracy [38]. The SPOT-2 panchromatic images from 1994,
with a spatial resolution of 10 m, were fused with the TM images from the same year for improving image resolution. To ensure that images of varying resolutions were comparable, the images from the six periods were subsampled at 10×10 m. Based on the national land use classification system and the landscape features common in mining areas situated in the basin regions of eastern China, the landscape in Peibei mining area was divided into 8 types: cultivated land (drylands and paddy fields), forest land, orchard, water, waterlogged subsidence areas, construction land (for mining/industrial sites), traffic land and unused land. Subsequently, the sampled images from each period were interpreted using IDL 8.4 combined with random decision forests, a classification method, in order to extract textural features, spectral characteristics and other information. Data for waterlogged subsidence zones were obtained by estimating mining subsidence. The accuracy of classification was then assessed through comparisons with the land use survey data from the same periods, high-resolution images, and GPS data, as well as interviews with local residents.

Based on the production characteristics of Peibei mining area and existing methods for identifying stages of a mining area’s life cycle [13, 39], this study found that Peibei mining area is currently in the stable exploitation period, where mining is taking place continuously on a large scale. The original ecological balance in this area has been disturbed and land subsidence is occurring rapidly. Land use and vegetation cover is changing drastically, and the initial stable ecosystems are becoming vulnerable.

### Indices of Landscape Pattern

LPC is the concrete manifestation of the landscape heterogeneity of patches with different sizes and shapes,
and it is also the result of various ecological processes on different scales [40]. The process of coal mining greatly affects the size, shape and connectivity of the landscape, and indirectly affects the ecological stability and sustainable development potential of a coal mining area. The largest patch index (LPI) and aggregation index (AI) were selected to analyze the landscape structure and spatial change of mining area according to characteristics of landscape index [41], and it tried to explore the influence of coal mining on landscape pattern change.  

(1) LPI is used to describe the matrix or dominant type of landscape. Changes in its value are associated with changes in the intensity and frequency of disturbance, which can reflect the direction and intensity of human activities [42]. The equation is as follows:

\[
\text{LPI} = \frac{\text{Max}(a_1, a_2, \ldots, a_n)}{A}(100)
\]

…where LPI denotes the largest patch index (%), whose value falls within the interval of 0-100; Max \((a_1, a_2, \ldots, a_n)\) represents the area of the largest patch in a landscape \((m^2)\); and \(A\) is the total area of a particular landscape type \((m^2)\).  

(2) AI describes the spatial distribution of, and the spatial relationships between, different types of patches, reflecting their tendency toward spatial aggregation [43]. It is given by:

\[
\text{AI} = \frac{g_0}{\max g_i}(100)
\]

…where \(g_i\) is the number of nodes between pixels in type \(i\) patch based on the monotonic method, and \(\max g_i\) is the maximum number of nodes between pixels in type \(i\) patch. A smaller AI value indicates a higher fragmentation level of a certain type of patch, while a higher AI value suggests a greater extent of aggregation.  

Detection Methods of LPC

The Mann-Kendall test, a non-parametric statistical method for trend analysis, can offer a broad detection range and high quantification capability, and is independent of sampling distribution [44]. With these advantages, the Mann-Kendall trend test has been widely used to analyze long-term time series of hydrological, climate, vegetation and pollution data [45-47]. For a time series \(X\) with \(n\) samples, the ordered sequence can be constructed as shown below:

\[
S_k = \sum_{i=1}^{k} r_i, \quad r_j = \begin{cases} 1 & x_i > x_j, \\ 0 & \text{else} \end{cases} \quad j = 1, 2, \ldots, i
\]

…where \(S_k\) is the cumulative number of terms whose values are greater at time \(i\) than at time \(j\). Assuming that the time series are stochastically independent, a statistic can be defined by:

\[
UF_k = \frac{S_k - E(S_k)}{\sqrt{\text{Var}(S_k)}} \quad k = 1, 2, \ldots, n
\]

…where \(E(S_k)\) and \(\text{Var}(S_k)\) are the mean and variance of \(S_k\), respectively. If \(x_1, x_2, \ldots, x_n\) are mutually independent, continuous and identically distributed, then the following applies:

\[
E(S_k) = \frac{k(k-1)}{4}, \quad \text{Var}(S_k) = \frac{k(k-1)(2k+5)}{72}
\]

In this case, \(UF_k\) has the standard normal distribution and \(UF_k = 0\). The process can be repeated in the reverse order \((x_n, x_{n-1}, \ldots, x_1)\) of \(X\). The statistic for the inverse sequence, denoted as \(UB_k\), can be described by equation:

\[
UB_k = -UF_k \quad k = n, n-1, \ldots, 1
\]

…where \(UB_k = 0\). For a significance level of \(\alpha = 0.05\), \(U_{0.05} = \pm 1.96\). \(UF_k\) and \(UB_k\) curves can then be plotted. If \(UF_k > 0\), there is an upward trend in the series; otherwise, the trend is downward. If certain critical lines are exceeded, the upward or downward trend is marked. If the two curves intersect at point(s) between the critical lines, the time at an intersection point represents the starting point of an abrupt change.  

Results and Discussion

Dynamic Change of Landscape Pattern

Landscape Type Changes

Based on the images from the six periods, land cover in the study area was classified into 6 landscape categories using random decision forests (Fig. 3). The accuracies of classification are summarized in a confusion matrix (Table 2). The overall accuracies of classification for 1994, 1998, 2002, 2006, 2010 and 2014 were 0.84, 0.86, 0.85, 0.91, 0.91 and 0.87, respectively, and corresponding kappa coefficients of 0.82, 0.84, 0.83, 0.91, 0.9 and 0.85, respectively. The area of each landscape type was counted (Table 3). As can be seen in Table 3, the study area experienced significant landscape changes between 1994 and 2014, primarily shrinkage of cultivated land, forest land and unused land. The net reductions in their areas were 6752.99 hm², 2560.13 hm² and 2422.12 hm², respectively. By contrast, the areas of construction land and subsidence zones increased rapidly, with net increases of 11888.30 hm² and 1188.93 hm², respectively. In terms of average annual rate of change, the area of waterlogged subsidence zones changed at the fastest rate during 1994-2014, followed
by forest land and unused land (Table 3). Cultivated land and construction land (for mining/industrial sites) showed significant net increases in areas, but their annual rates of change were not significantly high, since their original areas were large. The area of construction land increased steadily over the study period, with the annual rate of increase peaking at 6.74% during 2002-2006.

Table 2. Accuracy assessment of the classified images between 1994 and 2014.

<table>
<thead>
<tr>
<th>Year</th>
<th>Data type</th>
<th>Overall accuracy of (%)</th>
<th>Kappa coefficient</th>
</tr>
</thead>
<tbody>
<tr>
<td>1994</td>
<td>SPOT-2 + Landsat TM</td>
<td>0.84</td>
<td>0.82</td>
</tr>
<tr>
<td>1998</td>
<td>SPOT-4</td>
<td>0.86</td>
<td>0.84</td>
</tr>
<tr>
<td>2002</td>
<td>SPOT-5</td>
<td>0.85</td>
<td>0.83</td>
</tr>
<tr>
<td>2006</td>
<td>SPOT-5</td>
<td>0.91</td>
<td>0.91</td>
</tr>
<tr>
<td>2010</td>
<td>SPOT-5</td>
<td>0.91</td>
<td>0.9</td>
</tr>
<tr>
<td>2014</td>
<td>GF-1</td>
<td>0.87</td>
<td>0.85</td>
</tr>
</tbody>
</table>

Landscape Pattern Changes

Changes in the two aforementioned indices of landscape pattern were calculated using FRAGSTATS 3.3. The results are provided in Table 4. As can be seen in Table 4, cultivated land LPI was 19.57 in 1994 and 26.91 in 1998. Between 1994 and 1998, when the coal mines in Peibei mining area were in the commissioning period, cultivated land began to be disturbed, but still remained the dominant landscape. Between 2002 and 2006, when the coal mines were in the stable exploitation period, the intense coal mining and urban sprawl rapidly diminished cultivated land and reduced its dominance, as indicated by the continual decline in its LPI. From 2010 to 2014, the cultivated land area increased as a result of the relocation of local villages, land reclamation, and other projects implemented to repair subsided land. The steady rise in cultivated land LPI suggests an increase in its dominance among other landscapes. The LPI of construction land shows fluctuations and an overall upward trend. The growth in its dominance can be attributed to urban sprawl and an increase in the number of industrial and mining enterprises. The AI of cultivated land exhibits an overall downward trend despite the growth at the beginning,
while construction land shows fluctuations and an overall upward trend in AI (Fig. 4a).

The LPI and AI curves reveal that forest land, gardens and traffic land accounted for relatively small portions of the mining area. Since the three landscape types were disturbed to a great extent by human activities, they were severely dissected into discontinuous patches. From 1994 to 2010, the LPI and AI of unused land decreased consistently, suggesting that some unused land was developed and utilized. From 2010 to 2014, the LPI and AI of unused land increased, conceivably due to the fact that the conversion of terrestrial to aquatic ecosystems in mining-induced subsidence zones caused an increase in the area of boggy land. Fig. 4b) shows that the LPI of waterlogged subsidence zones had a sustained upward trend, while the AI shows fluctuations and an overall increase. On one hand, the waterlogged subsidence zones gradually expanded as the intense mining continued, thus elevating LPI. On the other hand, as the subsidence zones were properly integrated into surrounding landscapes or modified to wetland landscapes during the land reclamation project, the extent of landscape aggregation consistently increased.

Detection of Abrupt Changes of Landscape Indices

According to the above analysis, the cultivated land and waterlogged subsidence zones in the study area experienced the most drastic changes in terms of LPI and AI, therefore, the Mann-Kendall trend test in this study focused only on the landscape indices of these two land use types. As the index values were calculated for 6 separate years, a first-order univariate gray model, denoted as GM (1, 1), was fitted to the data points for each index and then a series for 21 continuous years was obtained by interpolation [48]. Subsequently, the Mann-Kendall trend test was performed to
detect abrupt changes in the LPI and AI of cultivated land and waterlogged subsidence zones. The test results are presented in Fig. 5, with the significance level set at 0.05. Fig. 5a) shows that the \( U_{F_k} \) and \( U_{B_k} \) curves intersect around the year 2001, and the intersection point lies within the confidence interval, indicating that the extent of cultivated land aggregation in the mining area began to change abruptly in approximately 2001. A strong downward trend in AI began in 2003, suggesting that cultivated land was typically fragmented. The results of the trend test for cultivated land LPI (Fig. 5b) reveal that the \( U_{F_k} \) and \( U_{B_k} \) curves intersect around the year 1999, which implies that the dominance of cultivated land began to change abruptly in 1999. A decline continued until 2010, when LPI began to rise slightly.

As shown in Fig. 5c), there was no abrupt change in the AI of waterlogged subsidence area in 1994-2014; a) Description of landscape indices changes of the cultivated land; b) Description of landscape indices changes of waterlogged subsidence area.

**Discussion on Impact of Coal Mining on LPC**

It is important to understand the process of landscape pattern change and its driving factors, which can make people realize that their actions influence the ecological environment, and contributes to rational utilization of land resources, ecological environmental protection and optimizing the development policy [49-50]. Coal mining is one of the typical strong interference ways of human activities on the ecological environment. This paper could be used as a reference for researching how underground coal mining affects the landscape pattern change. In this study, we quantified landscape patterns during the past 20 years and described how the landscape pattern had been changed in response to underground coal mining.

Coal mining has a serious influence on landscape pattern change of mining area. The correlations between annual coal production over the study period and the LPI and AI of cultivated land and waterlogged subsidence zones were analyzed. The corresponding correlation coefficients were -0.634, -0.748, 0.911 and 0.191, respectively, demonstrating that the landscape indices of the two land use types, in particular the LPI of waterlogged subsidence zones, correlated highly with coal production. According to the analysis of abrupt changes in the landscape indices, the LPI and AI of cultivated land began to change abruptly in 1999 and 2001, respectively, moving downward rapidly, indicating that the mining activities first affected the dominance of cultivated land. Later, as the mining activities intensified, the extent of cultivated land aggregation began to be affected, followed by the regional landscape pattern. According to the life cycle stage of coal mining area, the production from each coal mine in the study area peaked for the first time around 2001, indicating that the mines entered a stable exploitation period. During this period, cultivated land was heavily disturbed and its aggregation extent decreased sharply. Consequently, an abrupt change in the AI of cultivated land began in 2001. Due to the policy of reducing overcapacity and implementing eco-civilization construction, coal production declined during 2010-2014 (Fig. 2). Therefore, the decline is temporary and it does not mean that the mining areas enter the recession period.

In most cases, the ecological effects on the surface lag behind the underground mining activities [32]. Since the study area is located in a high groundwater table plain area of eastern China, the subsided land over goafs is likely to be waterlogged, and the resulting waterlogged subsidence zones typically expand continuously with the increase of mining scale and intensity. The LPI curve for the positive sequence moves upward across the upper boundary of the confidence interval \((\alpha=0.05)\) (Fig. 5d), implying that the waterlogged subsidence zones expanded continuously after 1995. Taken together with the changes in mining intensity and waterlogged
subsidence zones, this demonstrates that coal mining is the direct cause of surface subsidence. As the mining activities intensified, the subsidence zones expanded outward, and the directionality of water spread over these zones is the same as mining progression. In recent years, the implementation of green coal mining (e.g., gangue backfilling or grouting in separated-bed for new goafs) and land reclamation and restoration projects have been found to slow down the rate of surface subsidence and effectively increase the cultivated land and improve the ecological environment of the study area [51]. The AI of waterlogged subsidence zones did not change significantly between 2006 and 2011, primarily due to the fact that the waterlogged subsidence zones were effectively restored by land reclamation, ecological restoration and other projects.

Due to the complexity and specificity of the research objective, this study still needs further improvements in the following areas: The research data from different satellite sensors have varied spatial resolutions and spectral information. Despite the efforts to minimize error in classification (image fusion, subsampling and field survey), the information regarding LPC extracted from the data still contains errors, due to the essential differences between multi-source remote sensing data. In addition to mining-induced disturbances, the complex process of LPC is also influenced by regional economy, population, and urbanization. As the present study only looked at the effects of coal mining on LPC, further research is needed into the driving mechanism behind LPC. Furthermore, this paper only analyzed the Peibei mining area empirically, thus the results of the case study have some limited theoretical and practical significance for land reclamation and restoration of the damaged landscapes and ecosystems in high groundwater mining areas. Follow-up studies will address the above problems to improve the theory and practice of landscape ecological effects assessment and ecological restoration of the coal mining subsidence area.

**Conclusions**

This paper described a case study performed on an underground coal mining area in a plain region, Xuzhou Peibei coal mining area. Based on the remote sensing images from 1994 to 2014, the characters and changes of landscape pattern were analyzed quantitatively and spatially, and how the LPC in response to underground coal mining was measured with the methods of GIS, landscape ecology and geostatistics. The main conclusions are as follows:

1) The landscape structure and landscape pattern of the study area changed significantly during the past 20 years. Landscape presented a fragmental trend, and
landscape fractal characteristics tended to simplify and regularize.

2) The LPC has a strong correlation with coal mining. The corresponding correlation coefficients of the LPI and AI of cultivated land and waterlogged subsidence zones were -0.634, -0.748 and 0.911, respectively.

3) The abrupt changes of LPI and AI of cultivated land occurred in 1999 and 2001, respectively. The AI of waterlogged subsidence zones had a significant rising trend in two periods, 1997-2006 and 2011-2013, but no significant change in 2006-2011 years, and the LPI of waterlogged subsidence zones was increasing gradually over the whole period. Through life cycle analysis of the coal mine, land subsidence induced by continuous large-scale underground coal mining and ensuing waterlogging, damage to cultivated land and rapid urbanization were found to be the main causes of LPC.

Our results could provide useful guidance for making landscape protection planning and repairing damaged landscapes for underground coal mining areas.

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Conflict of Interest

The authors declare no conflict of interest.

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