Original Research

Resources and Environmental Carrying Capacity Using RS and GIS

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> Received: 15 March 2017 Accepted: 4 May 2017

Abstract

Evaluating resources and environmental carrying capacity (RECC) plays an important role in sustainable regional development. Using the urban agglomerations of Beijing, Tianjin, and Hebei Province as examples, in this paper we utilize remote sensing (RS) and geographic information system (GIS) techniques to study RECC. Based on data obtained from statistical information and RS technology, we selected 22 indicators with which to construct an RECC evaluation scheme. Then we conducted a mean-variance analysis to determine the weight of each indicator. Finally, we calculated the RECC of each city in the study area and statistically analyzed the main factors influencing RECC. Our results indicate that:

- The environment carries the most weight in RECC assessments, followed by resources, economic, and infrastructure
- In the study area, the RECC ranking is as follows: Beijing, Tianjin, Chengde, Langfang, Qinhuangdao, Cangzhou, Shijiazhuang, Tangshan, Baoding, Zhangjiakou, Hengshui, Handan, Xingtai
- Geographically, the eastern and central regions have higher RECC than the southern and northeast regions
- A region's per capita fiscal income is the most important factor affecting its RECC

Keywords: resources and environmental carrying capacity, remote sensing, mean-variance method

Introduction

With respect to industrialization and urbanization, China's economy is presently in a period of stable and rapid development. However, this economic and population growth brings with it a range of problems such as resource shortages and environmental pollution. As such, it is necessary to better understand the resourcespopulation-environment relationship, and the "carrying capacity" concept offers a way of thinking about sustainable development.

The carrying capacity concept was originally derived in the field of ecology [1]. Since that time, numerous and varied theories of carrying capacity have been developed based on different requirements [2-8], and these studies have imbued the concept with a breadth and depth of meaning. In China, the resources and environmental carrying capacity (RECC) concept was first introduced in 1991 by scientists who had used this concept in a report

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titled "The Fujian province Meizhou Bay development zone environmental planning comprehensive research" [9]. Subsequently, many studies have been conducted to analyze RECC [10-12]. However, despite the fact that some researchers have used geographic information system (GIS) to analyze carrying capacity [13-15], most studies have used only statistical data to assess RECC, and a few have utilized remote sensing (RS) data.

In this study, using statistical and RS data, we evaluated the RECC of the urban agglomerations of Beijing, Tianjin, and Hebei Province. These crucial economic urban agglomerations in northern China are often characterized by fog and hazy weather conditions. As such, investigating the RECC of this area will have important practical significance for its sustainable development, as well as providing guidance for other regions.

Study Area and Data Sources

The study area is located in Northern China between longitudes 113.08°-119.87°E and latitudes 36.03°-42.62°N (Fig. 1), and has an area of 21.8×10^4 km². It consists of two municipalities directly under the central government (Beijing and Tianjin) and 11 prefecturelevel cities (Baoding, Langfang, Tangshan, Zhangjiakou, Chengde, Qinhuangdao, Cangzhou, Hengshui, Xingtai, Handan, and Shijiazhuang).

In this study we used both statistical and RS data. We obtained 2014 statistical data from the Beijing Statistical Yearbook 2015, Tianjin Statistical Yearbook 2015, Hebei Economic Yearbook 2015, and China City Statistical Yearbook 2015. The RS data we utilized:

MOD04_L2-MODIS/Terra Aerosol 5-Min L2 Swath 10 km aerosol products.



Fig. 1. Location of study area.

- National Polar-orbiting Partnership-Visible Infrared Imaging Radiometer Suite (NPP-VIIRS) nighttime lights data.
- Defense Meteorological Satellite Program Operational Linescan System (DMSP-OLS) nighttime lights data.
- MOD13A3 normalized difference vegetation index (NDVI) products.
- Landsat8-Operational Land Imager (OLI) products. All of these data were observed in 2014, except the Landsat8-OLI product, which contains data observed in 2013 due to several poor-quality images in 2014.

We downloaded the aerosol products from ladsweb. nascom.nasa.gov, the nighttime lights data from ngdc. noaa.gov/eog, and the vegetation index and Landsat8-OLI products from glovis.usgs.gov.

Methods

RS Data Processing

Aerosol Optical Depth (AOD) and NDVI

To determine the annual mean aerosol optical depth (AOD) values, we applied the following procedures:

- 1. Used the MODIS reprojection tool (MRT) to resample and generate a mosaic from the original AOD imagery.
- 2. Extracted the AOD data of the study area using ArcGIS 10.3 software.
- Used the ordinary kriging technique to interpolate values when AOD values were missing within the study area.
- 4. Lastly, we calculated the mean annual AOD using ArcGIS software.

We followed the same procedures used for the AOD products with the NDVI products, except for step No. 3, above, since there were no missing values in the NDVI products.

NPP-VIIRS Data

Since the original NPP-VIIRS data have not been filtered to eliminate noise such as lights from fires, bright surfaces, and other temporal lights, they cannot exactly identify human socioeconomic activities. Here, to correct the NPP-VIIRS data, we utilized a method proposed by Shi et al. [16], which consists of three steps:

- Assuming that the 2013 DMSP-OLS imagery and the 2014 NPP-VIIRS imagery had the same areas that were lit up, we applied the DMSP-OLS imagery to correct the NPP-VIIRS imagery.
- 2. Then we created a mask in which pixels with positive digital number (DN) values in the DMSP-OLS imagery were assigned a value of 1 and we overlaid this mask with NPP-VIIRS imagery to obtain an initial corrected NPP-VIIRS imagery.
- 3. Unlike Shi et al. [16], we chose a reasonable threshold from the four most-developed megacities (Beijing,

Table	1.	Indicator	S	vstem.

Sector	Indicator	Unit	Attribute	Data source
	1) Precipitation	mm	+	SY
Resources	2) Per capita constructive land	m ²	+	RS
	3) Per capita cultivated area	m ²	+	RS
	4) Energy consumption per 10,000 yuan GDP	ton (SCE)	-	SY
	5) Per capita nighttime lights	nW/cm²/sr	-	RS
	6) Ratio of industrial solid wastes comprehensively utilized	%	+	SY
	7) Ratio of wastewater centralized treated of sewage work	%	+	SY
	8) Industrial wastewater discharged per 10,000 yuan GDP	ton	-	SY
Environmental	9) Industrial sulphur dioxide emissions per 10,000 yuan GDP	ton	-	SY
	10) Industrial soot(dust) emission	ton	-	SY
	11) NDVI		+	RS
	12) AOD		-	RS
	13) Per capita GDP	yuan	+	SY
	14) Per capita annual disposable income	yuan	+	SY
Economic	15) Registered rate of unemployment	%	-	SY
	16) Per capita fiscal income	yuan	+	SY
	17) Number of patent granted per 10,000 persons	unit	+	SY
	18) Number of doctors per 10,000 persons	user	+	SY
Infrastructure	19) Number of beds in health care institutions per 10,000 persons	unit	+	SY
	20) Number of collections of public libraries per 100 persons	unit	+	SY
	21) Number of subscribers of mobile telephones per 100 persons	user	+	SY
	22) Number of subscribers of internet services per 100 persons	user	+	SY

SY refers to data obtained from statistical yearbook, RS refers to data obtained from remote sensing, + means positive indicator, - means negative indicator

Shanghai, Guangzhou, and Shenzhen) to correct any outliers.

Landsat8-OLI Data

We extracted the areas' land cover types from the Landsat8-OLI data with the help of eCognition 9.0 software, which uses an object-based technique to classify RS imagery and has been proven to obtain satisfactory classification results [17-20]. In this study, our classification accuracy was better than 85% when compared with the ground truth points. Based on our classification results, we identified areas of developed and cultivated land and adopted these as resource indicators.

Selection and Weighting of Indicators

From an extensive literature review, we made a preliminary selection of 38 indicators. Then, based on expert advice and a correlation test, we ultimately chose 22 indicators for our RECC evaluation (see Table 1). To facilitate our analysis, we divided the indicators into four subcategories: resources, environment, economic, and infrastructure.

Before calculating the weight of the indicators, we needed to remove their dimensional effects, which we did by adopting the following equations: For positive indicators:

 $x_{ij}' = \frac{x_{ij} - x_{jmin}}{x_{ij} - x_{jmin}}$

$$x_{jmax} - x_{jmin}$$

For negative indicators:

$$x_{ij}' = \frac{x_{jmax} - x_{ij}}{x_{jmax} - x_{jmin}}$$

...where x_{ij} is the statistical or RS value of the *i*-th city for the *j*-th indicator, x'_{ij} is the normalized value of the *i*-th city for the *j*-th indicator, and x_{jmax} and x_{jmin} denote the maxima and minima of the *j*-th indicator, respectively. Based on these normalized values, to avoid any subjective influences we used an objective approach – mean variance analysis – to determine the weight of each indicator. The details of this analysis procedure are as follows:

$$E(j) = \frac{1}{m} \sum_{i=1}^{m} x'_{ij}$$
$$\sigma(j) = \sqrt{\frac{1}{m} \sum_{i=1}^{m} (x'_{ij} - E(j))^2}$$
$$\omega_j = \sigma(j) / \sum_{j=1}^{n} \sigma(j)$$

...where E(j) refers to the mean of the *j*-th indicator, $\sigma(j)$ is the standard deviation of the *j*-th indicator, and $\omega(j)$ is the weight of the *j*-th indicator.

Finally, we can determine the RECC using the following formula:

$$R_i = \sum_{j=1}^n x'_{ij} \,\omega_j$$

Results and Discussion

Spatial Features of AOD

Fig. 2 presents the final AOD distribution. In this study, we used AOD data as an environment indicator, as it has been proven to accurately reflect air quality [21-23]. The AOD value of the southeast region of the



Fig. 2. AOD distribution in study area.



Fig. 3. NDVI distribution in study area.

study area is obviously higher than that of the northwest region, likely due to their different regional landforms. Specifically, most factories are located in the plain areas of the southeast region and these can lead to bad air quality, whereas the northwest region contains mountainous areas with few factories.

Spatial Features of NDVI

A number of studies have found the NDVI to reveal environmental conditions [24, 25], so we used the NDVI products for our environment index. Fig. 3 shows



Fig. 4. NPP-VIIRS nighttime light data distribution in study area.

the NDVI map, and we can see that the NDVI value is relatively low in built-up areas. Furthermore, the NDVI value of the northwest region is low due to the presence of massive desert areas and sparse grassland in Zhangjiakou. The low NDVI value in coastal regions is due to the water areas in Tianjin and Tangshan.

Spatial Features of NPP-VIIRS Data

Fig. 4 shows the corrected NPP-VIIRS imagery. As expected, urban areas have higher values than other areas. Since the use of nighttime lights data has been proven to be effective for estimating electric power consumption [16, 26], we used it in our study as a resource indicator.

RECC Analysis

Based on the above formulas, Table 2 lists the weight of each indicator. Some scholars have evaluated the importance of the subcategories by accumulating the weight of subordinate indicators [12, 27], but this approach is unreasonable when the quantity of indicators differs in each subcategory. In this paper, to estimate the significance of each subcategory we calculated the mean weight of its indicators. We can see from the table that, of the four RECC subcategories, environment has the largest mean weight at 0.0475, followed by resources 0.0472, economic 0.0452, and infrastructure 0.0410.

Based on the values of the selected indicators and their weights, Table 3 presents the RECC and subcategory ranks of each city in the study area. A larger RECC value means a better state of development. The results show that Beijing has the highest rank and its RECC value is significantly higher than that of other cities. The RECC value of Beijing (0.757) is nearly threefold the minimum RECC value (0.282, Xingtai). In addition, to investigate the spatial distribution of the RECC in the study area, we used the natural breaks approach in Arcgis10.3 software to divide the RECC into four levels. Fig. 5 shows the RECC distribution map, from which we can conclude that the central and eastern cities have higher RECC levels than cities in the southern and northwestern regions.

Table 2. Weight of each indicator.



Fig. 5. Spatial distribution of RECC in study area.

On the basis of subcategory rank, we can further analyze the above results. First, despite the bad rankings of Beijing (11) and Tianjin (13) in the resources subcategory, they are ranked in the top three of the other three subcategories, which reveals that the RECC values of Beijing and Tianjin can be improved by importing external resources. Secondly, due to the more diversified and vitalized economies of the coastal regions, we can see that the economic conditions of the cities in this region (Qinhuangdao, Tangshan, Cangzhou) are superior to those in the inland regions (Zhangjiakou, Baoding, Hengshui, Xingtai, Handan). In addition, the municipalities (Beijing, Tianjin) and Shijiazhuang, the provincial capital, are ranked in the top five with respect to economic conditions because of advantageous government policies. Moreover, Langfang is ranked third highest in the economic subcategory due to its geographical position between Beijing and Tianjin, from which its economy undoubtedly

Reso	urces	Enviro	nment	Ecor	nomic	Infrast	ructure
Indicator	weight	Indicator	weight	Indicator	weight	Indicator	weight
1	0.0515	6	0.0454	13	0.0517	18	0.0384
2	0.0465	7	0.0429	14	0.0433	19	0.0459
3	0.0462	8	0.0412	15	0.0455	20	0.0415
4	0.0411	9	0.0529	16	0.0426	21	0.0391
5	0.0505	10	0.0502	17	0.0429	22	0.0403
		11	0.0490				
		12	0.0512				
Mean	0.0472	Mean	0.0475	Mean	0.0452	Mean	0.0410

C'i	REC	RECC		Resources		Environment		Economic		Infrastructure	
City	Result	Rank	Result	Rank	Result	Rank	Result	Rank	Result	Rank	
Beijing	0.757	1	0.101	11	0.268	1	0.223	1	0.165	1	
Tianjin	0.516	2	0.082	13	0.229	2	0.124	2	0.082	3	
Chengde	0.442	3	0.182	1	0.182	8	0.028	8	0.050	6	
Langfang	0.433	4	0.117	9	0.200	6	0.075	3	0.042	7	
Qinhuangdao	0.421	5	0.128	5	0.173	9	0.036	6	0.085	2	
Cangzhou	0.398	6	0.121	8	0.217	3	0.029	7	0.030	9	
Shijiazhuang	0.394	7	0.084	12	0.214	4	0.039	5	0.057	5	
Tangshan	0.379	8	0.110	10	0.148	11	0.057	4	0.064	4	
Baoding	0.378	9	0.147	3	0.213	5	0.008	13	0.010	13	
Zhangjiakou	0.348	10	0.161	2	0.143	12	0.011	10	0.033	8	
Hengshui	0.342	11	0.133	4	0.182	7	0.010	11	0.017	12	
Handan	0.340	12	0.125	7	0.168	10	0.021	9	0.026	10	
Xingtai	0.282	13	0.126	6	0.126	13	0.009	12	0.022	11	

Table 3. RECC rank of each city in study area.

benefits. Thirdly, from the spatial distribution of the RECC, we can see that, interestingly, cities with the worst rankings have good resources. This demonstrates that there are obvious spatial imbalances between human and natural resources. Cities with the lowest RECC levels can promote their RECC by better developing themselves with respect to the other three subcategories, i.e., environment, economic, and infrastructure. According to the statistical data, we can also see that the economic condition of districts in Baoding city are ranked sixth, whereas Baoding has the lowest economic conditions. This suggests that differences exist both between and within cities.

Analyzing Main Factors of Influence

The values and spatial distribution of RECC themselves provide valuable information for the future development of each city in the study area. However, it is necessary to further investigate the factors having the greatest effect on the RECC. Many other studies have used correlation coefficients to determine the main factors influencing RECC [11, 28-29]. Here we used SPSS software and this same method to obtain the final results shown in Table 4. From the table we can see that 13 indicators have a statistically significant correlation with RECC and that indicator 16 (per capita fiscal income) has the largest correlation coefficient (0.835). As such, we can consider indicator 16 to be the most crucial factor influencing RECC.

Effect of Indicator Selection Strategy on RECC Assessment

We employed two indicator selection strategies to evaluate RECC. The first contains all the indicators mentioned above and the other contains 19 indicators, three of which (5-per capita nighttime lights, 11-NDVI, and 12-AOD) can only be obtained by RS data and were excluded. In this way, we explored the influence of indicators sourced from RS on RECC. From Table 5 we can see that the difference between these two RECC indicator selection strategies is not statistically significant.

Table 4. Correlation analysis between RECC and evaluation indicators of study area.

Indicator	1	2	3	4	5	6	7	8
Correlation coefficient	0.275	-0.187	-0.313	0.643*	-0.747**	-0.033	0.198	0.582*
Indicator	9	10	11	12	13	14	15	16
Correlation coefficient	0.577*	0.549	-0.154	0.335	0.780**	0.593*	0.613*	0.835**
Indicator	17	18	19	20	21	22		
Correlation coefficient	0.599*	0.615*	0.308	0.709**	0.797**	0.676*		

** coefficient is significant at the 0.01 level; * coefficient is significant at the 0.05 level

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City	RECC w	ith RS data	RECC witho	G	
	Result	Rank	Result	Rank	- 51g.
Beijing	0.757	1	0.787	1	
Tianjin	0.516	2	0.592	2	
Chengde	0.442	3	0.363	7	
Langfang	0.433	4	0.464	3	
Qinhuangdao	0.421	5	0.393	6	
Cangzhou	0.398	6	0.402	4	
Shijiazhuang	0.394	7	0.354	8	
Tangshan	0.379	8	0.399	5	
Baoding	0.378	9	0.321	9	
Zhangjiakou	0.348	10	0.307	10	
Hengshui	0.342	11	0.287	12	
Handan	0.340	12	0.293	11	
Xingtai	0.282	13	0.220	13	
Mean	0.418		0.399		0.500
Standard deviation	0.117		0.149		0.720

Table 5. Results of RECC with different indicator selection strategies.

Moreover, the RECC rank of each city has only a slight change, except for those of three cities (Chengde, Cangzhou, and Tangshan). However, we also find that the standard deviation of the result that included RS data (0.117) is less than that without RS data (0.149). In addition, the difference between maximum and minimum RECC with RS data included (0.475) is also lower than that without RS data (0.567). This reveals that indicators determined from RS data can more reasonably and stably assess RECC.

Although this study selected four aspects for assessment, due to data limitations we could not adequately consider institutional and perceptual aspects. Also, there is currently no explicit standard for constructing indictor systems and we look forward to eventually developing a comprehensive indicator system. Moreover, RS techniques have been utilized for broader applications, such as water quality assessment and water storage estimations [30-32], and we could use these to acquire more RECC assessment indicators. In future work, when we are able to collect additional relevant data, we can take these factors into account to gain a more comprehensive understanding of RECC.

Conclusions

In this study, we utilized both RS and statistical data to assess RECC. Using the mean-variance method, we determined the RECC value of the urban agglomerations of Beijing, Tianjin, and Hebei Province. Our research results were made more objective by the utilization of RS data and can provide valuable information for planners and managers, despite the relatively small influence of incorporating RS data. In terms of the overall conditions of each city in the study area, managers can adopt corresponding measures to improve their cities' RECC and thereby help them to develop in more sustainable directions. This process can also be applied to other regions.

Acknowledgements

This research was funded by the Special Project on High Resolution of Earth Observation System for Major Function Oriented Zones Planning (grant Nos. 00-Y30B14-9001-14/16-1, 00-Y30B14-9001-14/16-2, and 00-Y30B14-9001-14/16-3) and the National Key Research and Development Plan (grant No. 2016YFC0503507-03).

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